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Department: Science and Technology REPUBLIC OF SOUTH AFRICA



THE WORLD ACADEMY OF SCIENCES for the advancement of science in developing countries

Grants Management and Systems Administration

NRF-TWAS Doctoral Scholarships

NRF-TWAS African Renaissance Doctoral Scholarships

Funding Guide 2017

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List of Acronyms

APR	Annual Progress Report
DA	Designated Authority
GMSA	Grants Management and Systems Administration
HEI	Higher Education Institution
HICD	Human and Institutional Capacity Development
RISA	Research and Innovation Support and Advancement
NRF	National Research Foundation
DST	Department of Science and Technology
TWAS	The World Academy of Sciences
UNESCO	United Nations Educational, Scientific and Cultural Organisation

Contact Details

When making an enquiry use NRF-TWAS Doctoral Scholarships / NRF-TWAS African Renaissance Doctoral Scholarships Call as the email subject line.

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Application and funding guidelines are explained in this document. Applicants must read this document together with the following documents: NRF–TWAS Doctoral Scholarships / NRF-TWAS African Renaissance Doctoral Scholarships Framework which highlights the eligibility criteria and requirements of each funding instrument.

1. Introduction

This Guide provides an overview of the application process and funding guidelines for the NRF-TWAS Doctoral Scholarship / NRF-TWAS African Renaissance Doctoral Scholarships funding instruments. It should be read in conjunction with the above-mentioned **Framework document** which can be accessed at https://nrfsubmission.nrf.ac.za. The Framework document provides detailed information with respect to the objectives of the funding instruments, eligibility criteria and so forth. This document does not however, constitute a complete set of the policies, procedures or systems used by the NRF.

2 Granting Rules

- 2.1 Conditions of NRF-TWAS Doctoral / NRF-TWAS African Renaissance Doctoral Scholarships
 - The scholarship shall be held as the primary source of funding for the doctoral scholarships;
 - Scholarships may not be held simultaneously with another scholarship from any other TWAS, South African government or NRF administered source;
 - Doctoral scholars may not concurrently hold the scholarship with any full-time employment position;
 - Doctoral scholars can hold non-binding supplementary grants or emoluments to the institutional capped value;
 - Successful applicants will be required to give a written undertaking that they will return to their home country upon completion of the scholarship; and
 - Applicants must meet the following requirements of the English Language Proficiency
 Academic test:

IELTS 6.5 (no band less than 6.0); or TOEFL (paper) 575 (TWE 4.5); or TOEFL iBIT (min. 20); or Cambridge minimum 58. Information on the IELTS tests can be obtained from the British Council in home country or accessed on: www.ielts.org. Further information on the TOEFL test can be accessed on: www.toefl.com. Consult the British Council in the home country for assistance.

2.2 Values of NRF-TWAS Doctoral Scholarships / NRF-TWAS African Renaissance Doctoral Scholarships

Successful applicants will receive funding annually for a maximum period of three (3) years to pursue full-time research training in SA.

Value	Item
R110 000	Non-taxable stipend per annum
R25 000	Maximum local travel costs
R50 000	Maximum international travel costs
Mobility costs of the scholar	Mobility grants for once off travel from home country to
	South Africa, and from South Africa to home country on
	completion of degree, subject to cost breakdown

The package for the TWAS doctoral scholarships for 2017 are broken down as follows:

Successful applicants are eligible for travel grants for local and international travel. The travel grants may be used to contribute towards the costs of attending local and international conferences, workshops, visiting a laboratory or, any other activity that supports the scholars' research training. Also refer to the framework for the maximum amounts permitted over the tenure of the scholarship.

In addition, the NRF will also cover mobility costs as follows:

- · A single economy airfare to travel from home country to South Africa; and
- A single economy airfare to return to home country upon completion of the doctoral degree.

Scholars that wish to access the travel grant for local travel, international travel, or to be reimbursed for mobility related costs, must complete a travel grant application form for submission and approval by the institution and the NRF. The travel application form can be accessed on NRF Online Submissions (<u>https://nrfsubmission.nrf.ac.za</u>) and should be submitted three (3) months prior to travelling to conferences. The mobility grant to SA can only be reimbursed once the candidate has registered at a South African institution by attaching the ticket/s and other travel related documents, to the travel grant application form to claim for these costs.

Responsibilities of Scholars

The applicant will be responsible for the following costs:

All financial expenses of accompanying family members. Scholars must also
ensure that accompanying family members have the correct documentation for
entry and stay in South Africa; and

• Comprehensive medical insurance for the period of their stay to meet any untoward incidents and major ailments during travel and stay in South Africa.

The applicant will be responsible for securing additional funding to cover any shortfall in funding required to undertake his/her research in South Africa.

2. Application Process

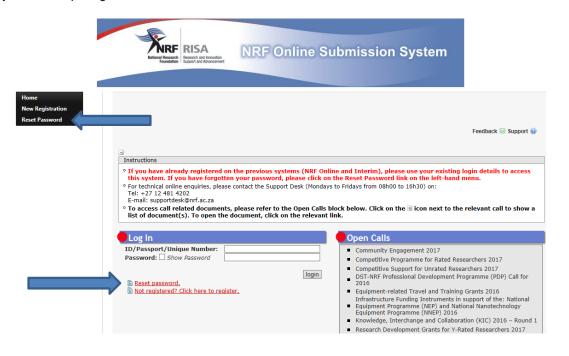
The NRF is publishing the NRF-TWAS Doctoral/ NRF-TWAS Doctoral African Renaissance Call on the NRF website that is accessible online at https://nrfsubmission.nrf.ac.za. Each applicant is limited to only one (1) application per year. Once an applicant submits an application, it will be duly authorised and approved by the Designated Authority (DA) of the research administration at the prospective South African host institution where the applicant intends to undertake the doctoral research. Applications will be automatically routed to the institutional DA of the submitting institution for validation on the deadline date determined by individual institutions. Applicants must enquire with their institutions regarding internal closing dates. The processing of a successful grant application takes approximately five (5) months from the closing date of applications until the commencement of funding.

3. How to Submit Applications

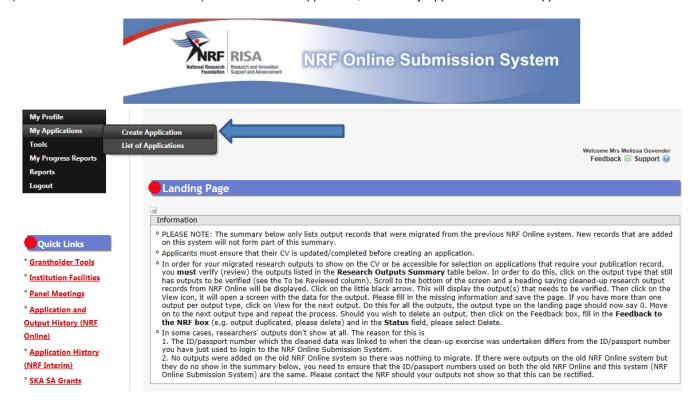
Applications may be completed on the NRF Online Submission System at <u>https://nrfsubmission.nrf.ac.za</u> starting from Wednesday, 4 May 2016. Applicants are advised to complete their applications soon after the call is open to prevent IT system overload nearer the **closing date of Friday, 29 June 2016.**

Step 1: This is an electronic submission system and applicants must be registered online in order to create and complete an application. If you had previously registered and submitted an application on the NRF Online System (http://nrfonline.nrf.ac.za) before February 2012 and your application was not successful, your details would have been migrated to the new NRF Online Submission System (https://nrfsubmission.nrf.ac.za). If you experience problems accessing the system with your NRF Online password, use the Reset Password button. If you are not yet registered on the NRF Online Submission System, you must register to access the application form.

Most application screens have screen and application specific instructions to help the applicant work through the sections. Please read the instructions carefully before completing the section.



Step 2: On logging onto the NRF Online Submission System, one gets to the 'landing page' where there is a menu at the top left side of the screen (tab indicated with blue arrow below). To create a new application, click on My Applications-Create Application.



Step 3: Select the funding instrument for which you are applying on the create application screen and a new application will open to complete. Please note that this must be selected once only. To continue working on an application, go to My Applications- List of Applications.

-				
Instructions				
 A timeout 	r intended institution's internal closing date as it will be prior to the closing date listed fo will appear when there is no activity on the system for 25 minutes. Click on the refresh t continuation/completion of the application. When clicking on the close button the system	utton (in the popu	o box) as this w	vill
	Call	Open Date	Closing Date	Appl
Call for 2016	ANCOR Postdoctoral Fellowship	04 April 2016	06 May 2016	0
Call for SANC	R International Travel Student Award for 2016	04 April 2016	06 June 2016	0
DST – NRF Fe	lowships for Early Career Researchers from the UK 2017	11 April 2016	30 June 2016	Ð
DST-NRF Con	erence Fund 2017	04 April 2016	27 May 2016	0
Equipment-re	ated Travel and Training Grants 2016 - 1st call	26 February 2016	22 April 2016	0
NRF Free-star	ding/Innovation/Scarce-Skills Postdoctoral Fellowships Call for 2017	31 March 2016	30 June 2016	0
NRF-TWAS Po	stdoctoral Fellowships Call for 2017	30 March 2016	30 May 2016	•
South Africa - 2017	China Joint Science and Technology Research Collaboration Call for Project Proposals	29 March 2016	03 June 2016	0
South Africa - 2017	Egypt Joint Science and Technology Research Collaboration Call for Project Proposals	16 March 2016	05 May 2016	0
South Africa /	Germany Joint Call for Proposals 2017	08 April 2016	29 July 2016	•
South Africa/A Joint Projects	ustria Joint Scientific and Technological Cooperation Call for Applications for 2017-2018	07 April 2016	31 May 2016	0

Applicants are urged to complete or update ALL screens of the CV, including the Research Profile and Research Outputs as this information gets evaluated during the review process and will impact on the overall assessment of your application.

Step 4: All sections marked with a red asterisk are compulsory sections in the application. These sections must be completed in order for the final submit button to appear. Sections without asterisks are not compulsory; an applicant may enter information in these sections, they have information to enter, such as research outputs. Completed sections will be indicated by a green tick on the main menu, while incomplete sections will have a yellow cross. Please ensure to press the "save" button after completing each section before returning to the main menu.

Section	Complete	Date Updated	Edi
NRF Call Information Documents (Please read before starting this application)	0	05 Apr 2016	6
Registration Details *	×	05 Apr 2016	6
Contact Details *	×	10 Jun 2015	6
Qualifications *	A 1	05 Apr 2016	6
Research Expertise *	×	10 Jun 2015	6
Personal Profile *	×	19 Aug 2015	6
Career Profile *	×	18 Mar 2016	6
Books	×	10 Jun 2015	6
Chapters in Books	V	10 Jun 2015	6
Refereed/Peer-reviewed Conference Outputs	×	10 Jun 2015	6
Articles in Refereed/Peer-reviewed Journals	×	10 Jun 2015	6
Patents	×	10 Jun 2015	6
Keynote/Plenary Addresses	×	10 Jun 2015	6
Articles in Non-refereed/Non-peer Reviewed Journals	×	10 Jun 2015	6
Other Significant Conference Outputs	V	19 Aug 2015	6
Technical/Policy Reports	×	10 Jun 2015	6
Products	×	10 Jun 2015	6
Artefacts	×	10 Jun 2015	6
Prototypes	×	10 Jun 2015	6
Other Recognised Research Outputs	×	10 Jun 2015	6
Disability *	2	05 Apr 2016	6
Degree to be Funded *	23	05 Apr 2016	6
Research Project Information *	X	05 Apr 2016	6
Academic Achievements	> 🐹	05 Apr 2016	6
Details of Research *	× *	05 Apr 2016	6
Science Communication	2	05 Apr 2016	6
Attachments *	2	05 Apr 2016	6
References *	2	05 Apr 2016	6
Possible Reviewers	***	05 Apr 2016	6
Declaration *	2	05 Apr 2016	6
Checklist *	2	05 Apr 2016	6
Print Preview	0	05 Apr 2016	1

All compulsory sections will guide the applicant with error messages at the top of the screen. Please read these messages carefully to help you complete the section correctly.

Step 5: The "*Degree to be Funded*" section is a compulsory section. The dropdown list for degree for which you are applying, will have two options: (1) NRF-TWAS Doctoral Scholarships; (2) NRF-TWAS African Renaissance Doctoral Scholarships. Please select the relevant option.

Please complete the section accurately and keep the following in mind: (1) successful applicants will have until the end of June 2017 to take up the award; (2) only full-time applicants who will conduct research in SA will be considered.

earch must be before the deadline of 30 June 201	17. Any awards not by this date will
Select an option	*
*	
●Yes ONo *	
⊖Yes [●] No	
DD/MM/CCYY	
⊖Yes [®] No *	
OYes ●No *	
Select an option	<u> </u>
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	Select an option Select an option Image: Select an option Select an option Select an option Oyes Image: No * Oyes Image: No * Select an option Select an option

Commented [MG1]: New printscreen required once changes have been made.

Step 6: The *Research Project Information* section requires applicants to select the proposed institution. SA Higher Education Institutions (HEI) as well research centres will be in the list. Should the institution you want to select not be on the drop-down list, please request it by selecting the support tab on the far right at the top of the screen. Please note that it is the applicant's responsibility to find an institution and supervisor in South Africa that is willing to host them for the duration of the scholarship.

 Instructions All fields are compulsory in this section. The Short Title of Research Project field is restricted to 100 characters (including spaces). The Descriptive Title of Research Project field is restricted to 2 000 characters (including spaces) and should be a clearer description of what the research is about, therefore expanding on the Short Title. You MUST consult the attached information manual before completing your application form online. NRF Free-standing/Innovation/Scarce-Skills Postdoctoral Fellowships Call for 2017 Please select the feedback link and enter your request to add an institution abroad that does not appear on the list by stating the name of the institution to be added to the list. Applicant's Proposed Institution Select an option * * Research Project Ead Year * Budget Start Year * Budget End Year 	Research Project Information		
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	Research Project Start Year Research Project End Year Budget Start Year Required Funding Period	* * 2017 V *	
Descriptive Title of Research Project	Descriptive Title of Research Project		
Has this research project previously been funded by the Orges ONO * Save Return to Menu		Oyes ON0 *	

Step 7: The *Details of Research* section is one of the most important sections in the application. The section has specific instructions related to each screen. Follow the instructions to complete the section. You are required to give a concise overview of your proposed research in this section as the input is taken into consideration during the assessment of your application. Appropriate literature references must also be included in this section. The Scorecard that will be used to assess your application can be viewed in Section 6 of this document.

Details of Research

-Instructions

 An * at the end of a sub-section as listed below denotes that this is a compulsory sub-section; it is not possible to click on the 'Final Submit' button unless all compulsory sub-sections have been completed.

Section	Complete	Date Updated	Edit
Research Rationale and Motivation *	*	05 Apr 2016	
Problem Identification *	*	05 Apr 2016	
Research Aims and Objectives *	23	05 Apr 2016	
Research Activities/Plan which include(s) the research approach/methods/techniques *	*	05 Apr 2016	0
Return to Menu			

*Please note that the Details of Research section will only mark as complete when all the sub-sections are completed.

Step 8: The *Science Communication* section is a compulsory section in which you describe your involvement in communicating your science/research to the various audiences.

Instructions • Indicate whether you have attended any wo	rkshops on communicating science or wor	king with the Media.
Previous experience/involvement		
		^
		\sim
	1500 characters left.	
Proposed involvement/engagement		

Step 9: The Attachments section provides specific instructions with respect to the compulsory documents required and for uploading attachments to the application. The applicant is required to attach SAQA certificates for all qualifications obtained outside SA, a letter of acceptance from the host institution and/or a letter from the supervisor approving the research project. Please print, certify and then scan all of the required documentation into a single PDF file; then upload them under this section as one document. If you are an applicant with a disability, you may also upload a medical certificate in support of your disability.

Instructions					
 Select the Document Type to b Capture an appropriate Descrip Click the Browse button below Click on the Upload button to s Upload pages individually if door the rating application.) Please do not upload zip files. A http://forums.adobe.com/threat NRF Free-standing/Innovation/Soc 	ntion. to select the file on ave. cument is larger tha Acrobat reader has t ad/520515 for more	on 4MB. (For rating application built-in security to prevent the o information).		-	
 Please attach the necessary do next two years or more), certifi motivation letter from supervis If you wish to remain at the sar your attachment. These are all required for applied 	ied copies of under <u>c</u> or), (if available). me institution where	graduate, Masters and Doctoral o e you are undertaking your Doct	certificates. If sti	ll finalizing Doctora	l degree- (attach

Step 10: The *Reference* section allows applicants to include academic referees who can comment on the applicant's academic abilities. On final submission of the application, the emails containing a reference response are sent automatically to the nominated referees to provide a report on the academic and research capabilities of the applicant. *The applicant will have to select at least one Doctoral supervisor AND one academic referee in order for the section to mark as complete.

Instructions		
• Please complete the reference se	ection below.	
ïtle	Select an option	*
Surname		*
nitials	*	
irst Name		*
Department		*
aculty		*
Organisation where based	Enter a word or two in the field below (e.g. Stellenbosch) to return a list of all organisa Select an option	
mail Address		*
Role	Select an option	*
	Save Cancel	

It is the applicant's responsibility to ensure that the referee email address is correct and that the referees have responded by the closing date of **29 June 2016** as this category of the application is assessed. The applicant must periodically view the application PDF to check if a referee has responded to the request.

References

Title	Prof
Initials	Z
Surname	Mahlangu
Name	Zodwa
Department	dfjkdjdfkds
Institution	CAPE ANIMAL MEDICAL CENTRE
Email Address	melissa.govender@nrf.ac.za
Role	Supervisor/Host of research
Responded	No

Step 11: The *Possible Reviewers* section is not compulsory in this Call. The section requires that you add the names of possible reviewers in your field of research that you have interacted with through conferences or other academic fora, to provide a neutral review of your proposed research project.

Instructions							
reviewer is top researcher association that you have v information that is accurate o First load complete records priority click on the up arro	ricted to resea n the field). Th with the review of current and o of possible rev w in the Priorit	rchers in the higher education s is will provide Specialist Comme er should be clearly articulated complete. It is especially import viewers in the grid below before y Up column or the down arrow	ittees with a (e.g. previo tant that em sorting the in the Prior	additional inform us PhD supervis ail addresses ar m in order of pri ity Down colum	ation in the select or, co-worker etc. e correct. iority. To sort the n.	tion of re .). Provid records i	viewers. T e n order of
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will show.		Postdoctoral Fellowships Call fo					
will show. NRF Free-standing/Innovatio ° Provide full names as well a priority who are best able t student or post-doctoral fel	n/Scarce-Skills as accurate and o assess your i low. nstitution as th		or 2017 east six, but ontributions.	not more than t Please do not li	en, possible revie ist yourself, your	wers in o superviso	rder of or or any
will show. NRF Free-standing/Innovatio ° Provide full names as well a priority who are best able t student or post-doctoral fe ° Reviewers from the same i	n/Scarce-Skills as accurate and o assess your i low. nstitution as th	Postdoctoral Fellowships Call f d current contact details of at le recent research activities and c	or 2017 east six, but ontributions.	not more than t Please do not li	en, possible revie ist yourself, your	wers in o superviso	rder of or or any

NRF-TWAS Doctoral Scholarships, NRF-TWAS African Renaissance Doctoral Scholarships Application and Funding Guide for 2017

Step 12: Applicants must ensure that they adhere to their institution's internal closing date for submission of their application to allow for internal institutional screening and review. The institution's internal closing date will be determined by the respective research offices or Post Graduate Office and is usually at least two weeks prior to the NRF's closing date

DAs ensure that all proposals are screened and approved through internal institutional processes **before** submitting the applications to the NRF. The NRF assumes that the respective DAs are satisfied with the standard of all proposals validated and submitted, and that the institution approves and supports the proposed research.

Step 13: The final submit button will appear only if all compulsory sections are complete. Press OK to submit your application. If all the compulsory sections are complete and the final submit button does not appear, select any section and resave to refresh the application; you will then see the final submit button. A submitted application is automatically routed to the DA in the Research Office of the institution that you selected, for internal review and validation. Late applications, additional supporting documentation or information received after the closing date will not be accepted or considered.

The NRF will not process applications that are incomplete, contain insufficient or incorrect detail, or fail to comply with instruction as such applications will be rejected. The application must be completed in sufficient detail to allow for a comprehensive review and evaluation by external reviewers. In addition to the electronic application and required attachments, the NRF may request additional information or documentation to support an application if required. Failure to supply such information or documentation upon request may result in the rejection of the application.

4. Screening and Review Processes

4.1 Overview of the Screening Process

All applications validated by institutions and submitted to the NRF are screened by the NRF for compliance with the eligibility criteria of the Call for applications, as well as the requirements for completing the NRF Online Submission application. Applications that fail to meet the stipulated requirements will be rejected.

4.2Overview of the Review Process

The NRF's peer review policy requires that all applications be subjected to a review process. Applications submitted to this funding instrument will go through a panel review process.

Panel members are selected from the NRF database and other sources, which is updated on a continuous basis. When selecting the review panel, the expertise and experience of individuals in application

adjudication are taken into account. In assessing the application, agreed assessment criteria are applied in the form of a scorecard (please refer to Section 6).

The Review Panel provides recommendations to the NRF and the final funding decision is made by the NRF taking strategic and policy objectives into consideration. In awarding grants in this funding instrument, the NRF takes into account the recommendations of the peer review panel, the objectives and targets of the funding instrument, and the available funds.

5. Ranking of Applications

The purpose of the scoring system is to evaluate applications, based on funding instrument criteria, in order to determine applications that are most deserving of the limited funds available. All research proposals submitted to the NRF for funding are evaluated according to a number of predetermined criteria. Applications are assessed and ranked on the basis of criteria as listed in the table below. Each area may be given a weight to indicate its relative importance. Kindly consult the table below for details on the criteria used.

Scorecard for the Assessment of Proposals for Postdoctoral scholarships

Assessment Category	Description
The applicant's past, current and potential academic performance	 This refers to the academic potential of the applicant, taking into consideration The potential of the applicant to undertake an independent research project; journal articles; conference presentations and proceedings; books and book chapters; and patents; Leadership roles; and Previous awards, prizes and honours.
The feasibility and merit of the applicant's research project proposal;	Research objectives to match aims of study – Reviewers will assess whether the research objectives are clearly stated and whether they are appropriate to meet the aims of the study; are sound but have inconsistencies and can be refined; are appropriate but only partially address the aims of the study; or are not appropriate to meet the aims of the study. Research methodology to match research objectives – Reviewers will comment on whether the research methodology is appropriate to meet objectives of study; is sound but has inconsistencies and can be refined; is appropriate but only partially addresses study objectives; or not appropriate to meet objectives of study. Research Plan - is the research plan feasible and detailed. For students doing a Master's by coursework and dissertation, some idea of the research topic and understanding is required for assessment.
References	Referee's reports are considered in the assessment of the application. These provide an account of the student's academic capabilities in relation to the research and the research field. The reviewers will look for consistencies in the reference reports as well as support for the applicant, proposed research study, capacity to host the research (if required) and evidence of institutional infrastructure or resources to support the applicant and the research.
Research outputs and publication plan	Reviewers will assess whether the study has a potential for outputs in terms of knowledge generation, e.g. publications or patents and potential impact.

NRF Scorecard for the Assessment of Master's and Doctoral Scholarship Applications

6. Application Feedback and Disputes

Once the review and assessment processes have been completed and the recommended funding decision has been approved by the NRF, the NRF will publish a list of successful applicants on the NRF website, <u>www.nrf.ac.za/bursaries/calls</u>. Successful applicants will thereafter receive a letter of award. The application status online will not indicate whether or not the application has been approved for funding. **This funding instrument does not provide feedback to unsuccessful applicants**; if your name does not appear on the published list of successful applications it means that your application was unsuccessful.

An unsuccessful applicant who requires feedback is advised to contact their institutional office to request feedback from the NRF. The NRF does not provide feedback to all unsuccessful applicants due to the high volume of applications received across its funding instruments.

Unsuccessful applicants that wish to appeal the funding decision taken by the NRF must follow the appropriate Appeals Process of the NRF. Appeals will only be considered for this funding instrument within a three (3) month period after the official date of publishing of the results on the NRF website.

7. Change Requests

7.1 Prior Approval Requests

Applicants must submit all change requests in writing to the NRF for approval before effecting any changes. The request must have institutional DA endorsement and be submitted to the NRF *via* the Research Office for approval prior to the change.

7.2 Changes in Project Scope, Supervisor or Institution

Changes may occur where a project scope, host or institution needs to be changed from the original research proposal, host or institution respectively. The request must have institutional DA endorsement and be submitted to the NRF *via* the Research Office. Such requests must be forwarded to the NRF thirty (30) days before the change is intended to take place.

8. Scientific Compliance

8.1 Methodology

The applicant takes scientific responsibility for the research to be undertaken, including its objectives and the methodology outlined in the project proposal. The student is required to devote the necessary time to the research project in compliance with the work-plan for the research proposal approved by the NRF so as to achieve the project's stated aims and objectives.

8.2Intellectual Property Rights

The intellectual capital generated by NRF funded research must be appropriately protected and exploited for the benefit of South Africa. This condition should not interfere with the Intellectual Property Rights arrangements already made, on condition that the majority of the benefits arising from the intellectual capital accrue to South Africa and its citizens. This condition is aligned with the *Intellectual Property Rights Act, 1* which will override this condition of grant.

8.3 Ethics

The fellow is required to maintain the highest ethical and safety standards in conducting the research, particularly when human and animal subjects are involved. It remains the responsibility of the project leader to comply with all relevant regulations in this regard, including those of the institution at which the research is carried out. An ethical clearance certificate (where applicable) must be submitted to the NRF in respect of successful applications before funding can be released.

9. References

RSA (2008). Intellectual Property Rights from Publicly Financed Research and Development Act 51 of 2008. *Government Gazette*, 22 Dec 2008. Vol 522, No 31745