



Rhodes Business School
Leadership for Sustainability

Our vision is to be the business school of choice for those aspirant leaders and managers who are committed to applying sustainable business practices.

Responsible Leadership
Engaged Business
Integrated Society



www.ru.ac.za/businessschool



**International
Finance Corporation**
WORLD BANK GROUP

Certificate Programme in Good Governance & Responsible Investment

This programme will be delivered online, via virtual platforms on the following dates:

Module 1: 17 November 2020 (08h30 – 13h00)

Module 2: 19 November 2020 (08h30 – 13h00)

Module 3: 24 November 2020 (08h30 – 13h00)

Module 4: 26 November 2020 (08h30 – 13h30)

**Applications
deadline
extended to 30th
Oct 2020!**

Purpose of the Programme:

With an increased focus globally on the impacts of climate change and the governance, environmental and social risks facing the financial sector through their portfolios, Rhodes Business School is offering an accredited certificate course on corporate governance and responsible investment. The programme will be delivered in partnership with the IFC's Environmental and Social Risk Management (ESRM) Program, which is sponsored by the Swiss State Secretariat for Economic Affairs (SECO). The certificate programme aims to provide the participants with the key concepts, knowledge and understanding of how organisations should manage their ESG (environmental, social and governance) risks and promote sustainable finance and good governance opportunities, including how these aspects are integrated within credit cycle management, portfolio assessment and reporting processes.

The goal of the programme is to cultivate the skills of participants to harness the power of investment to positively impact society. Ultimately, the program will give participants the knowledge, confidence and commitment to become responsible and sustainable investment leaders and improved governance managers.

The learning objectives of the modules are to:

1. Understand and discuss current developments and trends in the area of responsible investment to give participants the ability to effectively communicate these challenges with investors
2. Contextualise responsible investment within the corporate governance and sustainability contexts, with special focus on South African and African considerations
3. Distinguish between different types of responsible investment products and approaches
4. Provide strategies, methodologies and tools to participants to be drivers of innovation and generate positive environmental and social impacts through their activities
5. Initiate an active community of people who are committed to the development of the responsible investment field in Africa



RHODES UNIVERSITY
Where leaders learn

Rhodes University has been delegated authority by the HEQC to accredit its own short courses. A rigorous policy is in place to manage short courses and employers are able to claim skills levy reimbursements from Rhodes University accredited short courses.

Accreditation

The Council for Higher Education (CHE) has granted full accreditation to the Rhodes Business School's MBA programme.

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The programme is aimed at professionals at financial institutions, including banks, private equity firms and asset managers, as well as company directors, board members, investment and organisational managers, CFOs and company secretaries, ESG consultants, investment professionals, lawyers, pension fund trustees, business owners and managers looking for capital investments, and any organisation aiming to improve their own investment practices geared at responsible and sustainable practices.

In view of the global Covid-19 pandemic and related restrictions, this course will be delivered **virtually**, with the use of the Rhodes University virtual student platform (“RUConnected”) and successful admissions will receive access to this Moodle-enabled system. Virtual lectures and syndicate sessions will be conducted via Zoom. **Reliable and sufficient internet access is therefore required for attendance of all virtual sessions.** Please note no sessions will be recorded for circulation, to ensure discussions are open to all participants, irrespective of their backgrounds, experience, questions, statements and perspectives shared during virtual sessions.

Module themes and content:

The programme covers four modules as outlined below:

Module 1: Good governance and its role in responsible investment

With the increase in good governance and corporate responsibilities to shareholders and stakeholders of the organisation, the understanding and appreciation of corporate governance approaches and concepts for implementation become essential.

Module 2: The why and how of responsible investment

This module will consider and discuss the business case for responsible investment, look at international responsible investment trends and outline systems to integrate responsible investment into transaction processes.

Module 3: International best practice standards

This module will cover and discuss the various standards and codes relevant to responsible investment in South Africa and the African region.

Module 4: Monitoring and managing impact

This module will cover and discuss the IFC principles for impact management, and selected impact investment specialists will share their experiences in this regard.

All modules will include case studies and group discussions for shared experiences and practice perspectives.

Facilitators

The Good Governance and Responsible Investment Certificate Course will be led by IFC ESRM Program team members and Rhodes Business School faculty members. It will be supplemented by external experts from industry and practice.

Presenters will be experienced specialists and practitioners from industry and academia in the fields of responsible investment, impact measurement, corporate governance and ESG risk management. Their knowledge and experience operating in South Africa and internationally will be utilised to make the course as practical as possible.

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Certificate Assessment:

Participants are required to pass an assignment (submission only after the completion of the certificate programme's four module), to obtain a Certificate of Competence for the programme. The focus of the assignment is on the research/benchmarking, planning and general application of the key concepts associated with responsible investment within a work-based scenario or practice.

Please note that as this short course falls outside the realms of HEQSF (Higher Education Qualifications Sub-Framework), no NQF (National Qualifications Framework) levels of credits may be assigned to this course. However, as outlined in Para 4.3 of the Good Practice Guide¹:

“Although people who attend and complete short courses offered outside the realms of the HEQSF may not be awarded credits on any level of the HEQSF (NQF levels 5 to 10), the information about the short courses attended may be included in the individual’s portfolio of evidence that can then be presented in support of applications for the recognition of prior learning (RPL) for access and/or advanced standing. This means that these short courses may also be used through RPL to improve participants’ eligibility for admission to formal qualification programmes.”

Letters of attendance will be issued to those who do not meet the competence requirements or do not wish to complete the assessment, but met the minimum 80% attendance requirements set for the programme.

Admission Requirements:

Applicants should normally:

- Hold at least a three-year bachelors degree or diploma from an approved university, technikon or other institution
- Have a minimum of 3 years full-time relevant practical business or consulting experience
- Be proficient in English
- Computer literate
- No prior knowledge of governance or ESG risk management in the financial sector is required

Cost:

The fee for the programme will be R10,300 and full payment is required before commencement of the programme. This fee includes registration costs, access to the licensed virtual platforms and all course materials. Important to also note is that we reserve the right to cancel the course, with full refunds to those who had already settled their registration fees.

Dates and times:

The programme consists of four (4) virtual modules, which will each require a full morning comprising virtual Zoom lectures and group work, as well as limited readings and prep/follow-up requirements per module.

¹ A Good Practice Guide For The Quality Management Of Short Courses Offered Outside Of The Higher Education Qualifications Sub-Framework

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Please note that full mornings entail sufficient and reliable internet connections for the set module dates, from 08h30 until 13h00. The dates and module details are outlined below:

Module	Module theme	Module date
1	Corporate governance and its role in investment	17 November 2020 (08h30 – 13h00)
2	The why and how of responsible investment	19 November 2020 (08h30 – 13h00)
3	Best practice standards	24 November 2020 (08h30 – 13h00)
4	Monitoring and managing impact <i>(and 30mins at the end of the module to discuss the programme's assignment task)</i>	26 November 2020 (08h30 – 13h30)

**Applications
deadline
extended to 30th
Oct 2020!**

Closing Date for applications: 30 October 2020 by 14h00

Please note that spaces are limited and will be allocated on a first-come, first-pay basis to those who meet the admission requirements.

If you have any queries please contact:

Ms Sindi Tommy

Phone: +27-46-603-8017

Email: S.Tommy@ru.ac.za

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APPLICATION & REGISTRATION FORM

(PLEASE COMPLETE CLEARLY, SCAN AND EMAIL BACK TO Ms Sindi Tommy)

PROGRAMME: Good Governance and Responsible Investment Certificate Programme

DATES: morning sessions on the 17, 19, 24 and 26 November 2020 (attendance on all 4 modules required)

Surname:	First Name(s):	Title:

ID or passport number (required for the certificate):

Organisation and designation:

Person and Postal address for the invoice:	Postal address for the certificate (if different):

Contact details

Office Tel:	
Cell number:	
Email:	

Educational Qualifications:

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Work and governance, ESG- or Finance- or Investment-related Experience (please include type and years of experience):

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Current and future responsibilities related to governance and responsible investment (if any):

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I, _____ hereby confirm that the information that I have completed in this application form is correct. I also confirm that I have read the programme brochure and accept the conditions set out therein.

Signature

Date