



Rhodes Business School
Leadership for Sustainability

Our vision is to be the business school of choice for those aspirant leaders and managers who are committed to applying sustainable business practices.

Responsible Leadership
Engaged Business
Integrated Society



www.ru.ac.za/businessschool



IFC

**International
Finance Corporation**
WORLD BANK GROUP

3-Module Certificate Programme in Responsible Investment

Johannesburg (venue to be confirmed)

Module 1: 24-26 June 2019

Module 2: 20-22 August 2019

Module 3: 21-23 October 2019

Purpose of the Programme:

With an increased focus globally on the impacts of climate change and the environmental and social risks facing the financial sector through their portfolios, Rhodes Business School is offering an accredited certificate course on responsible investment and environmental, social and governance (ESG) risk management for Financial Institutions (FIs). The programme will be delivered in partnership with the IFC's Environmental and Social Risk Management (ESRM) Program, which is sponsored by the Swiss State Secretariat for Economic Affairs (SECO). The certificate programme aims to provide the participants with the key concepts, knowledge and understanding of how FIs manage their ESG risks and promote sustainable finance opportunities, including how these aspects are integrated within credit cycle management, portfolio assessment and reporting processes.

Main topics of the programme include:

- The legal, national and international frameworks applicable to responsible investment (and ESG management)
- The business case for responsible investment and the interconnectedness of ESG risk management
- The integration of responsible investment approaches and concepts into improved decision-making practices
- The approaches for maximizing the positive impacts associated with ESG matters, as it relates to responsible investment
- The reporting of responsible investment and ESG performance to FI stakeholders

The programme is aimed at professionals at FIs, including banks, private equity firms and asset managers. ESG consultants, business owners and managers looking for capital investments, and any organization aiming to improve their own investment practices geared at responsible and sustainable practices.



RHODES UNIVERSITY
Where leaders learn

Rhodes University has been delegated authority by the HEQC to accredit its own short courses. A rigorous policy is in place to manage short courses and employers are able to claim skills levy reimbursements from Rhodes University accredited short courses.

Accreditation

The Council for Higher Education (CHE) has granted full accreditation to the Rhodes Business School's MBA programme.

Certificate Programme in Responsible Investment

Johannesburg, 2019



Rhodes Business School
Leadership for Sustainability

Specific intended outcomes:

The programme covers three modules as outlined below:

Module 1: introduction to sustainability and responsible investment

- Contextualising sustainability, key concepts and their management for business and FIs
- An introduction to responsible and sustainable investment
- An overview of corporate governance and applicable codes/approaches, including an introduction to corporate reporting practices and approaches
- Overview of the IFC Performance Standards and other relevant guidelines/standards
- The Business Case for Environmental and Social Risk Management

Module 2: introduction to ESG risk management for FIs

- Key E&S risks facing South Africa and the continent and E&S risks associated with key sectors invested in by FIs
- Introduction to Environment and Social Principles, Regulations and Standards for FIs
- Developing an ESRM system for an FI – Key steps
- E&S Tools and Guidelines
- Key financial sector ESG standards and regulations (including IFC Performance Standards and EHS Guidelines)

Module 3: assessing ESG risks and opportunities for FIs

- Sustainable financing opportunities
- Conducting E&S due diligence for FIs (documentation review, site visits and reporting)
- Understanding Monitoring and Reporting Frameworks
- Workplace evaluation

Certificate Assessment:

Participants are required to pass an assignment (submission only after the completion of the certificate programme's three module), to obtain a Certificate of Competence for the programme. The focus of the assignment is on the research/benchmarking, planning and general application of the key concepts associated with responsible investment within a work-based scenario or practice.

All delegates who attend 80% of facilitated sessions and who complete and pass the assessment requirements will obtain a **Rhodes University Certificate of Competence**. Details that will appear on the Certificate will be: Names and registration details of the participant, full title of the short course, Rhodes University short course ID, and duration of the short course. Please note that as this short course falls outside the realms of HEQSF (Higher Education Qualifications Sub-Framework), no NQF (National Qualifications Framework) levels of credits may be assigned to this course. However, as outlined in Para 4.3 of the Good Practice Guide¹:

"Although people who attend and complete short courses offered outside the realms of the HEQSF may not be awarded credits on any level of the HEQSF (NQF levels 5 to 10), the information about the short courses attended may be included in the individual's portfolio of evidence that can then be presented in support of applications for the recognition of prior learning (RPL) for access and/or advanced standing. This means that these short courses may also be used through RPL to improve participants' eligibility for admission to formal qualification programmes."

¹ A Good Practice Guide For The Quality Management Of Short Courses Offered Outside Of The Higher Education Qualifications Sub-Framework

Certificate Programme in Responsible Investment

Johannesburg, 2019



Rhodes Business School
Leadership for Sustainability

Letters of attendance will be issued to those who do not meet the competence requirements or do not wish to take the assessment, but met the minimum 80% attendance requirements set for the programme.

Admission Requirements:

Applicants should normally:

- Hold at least a three year bachelors degree or diploma from an approved university, technikon or other institution
- Have a minimum of 3 years full-time relevant practical business or consulting experience
- Be proficient in English
- Computer literate
- No prior knowledge of ESG risk management in the financial sector is required

Cost:

The fee for the programme will be R17,900 and full payment is required before commencement of the programme. This fee includes registration costs, all course materials, plus teas and light lunches during the programme and does not include accommodation, travelling or related costs.

Please note that, in our endeavour to improve our sustainability practices, we will provide all course materials on a flash stick. So participants are required to bring their tablets/laptops to sessions, if they want to access the e-materials.

Dates, times and venue:

The programme will be held over three (3) modules, consisting for three (3) full days on the dates outlined above. Sessions will start promptly at 08h30 and continue until 16h30, with an earlier finish of 4pm on the last day of each module to accommodate those travel from outside Gauteng. The programme will be delivered in Johannesburg (specific venue to still be confirmed closer to the time).

Closing Date for applications: 14 June 2019 by 14h00

Please note that spaces are limited and will be allocated on a first-come, first-pay basis to those who meet the admission requirements.

If you have any queries please contact:

Ms Sindi Tommy

Phone: +27-46-603-7018

Email: S.Tommy@ru.ac.za

Certificate Programme in Responsible Investment

Johannesburg, 2019



Rhodes Business School
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APPLICATION & REGISTRATION FORM

(PLEASE COMPLETE CLEARLY, SCAN AND EMAIL BACK TO Ms Sindi Tommy)

PROGRAMME: Responsible Investment Certificate Programme

DATES: 24-26 June 2019; 20-22 August 2019; 21-23 October 2019 (**attendance on all 3 modules required**)

Surname:	First Name(s):	Title:

ID or passport number (required for the certificate):

Organization and designation:

Person and Postal address for the invoice:	Postal address for the certificate (if different):

Contact details

Office Tel:	
Cell number:	
Email:	

Educational Qualifications:

Certificate Programme in
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Rhodes Business School
Leadership for Sustainability

Work & ESG- or Finance- or Investment-related Experience:

Current and future responsibilities related to responsible investment (if any):

I, _____ hereby confirm that the information that I have completed in this application form is correct. I also confirm that I have read the programme brochure and accept the conditions set out therein.

Signature

Date