

Invariant Nonholonomic Mechanical Systems

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Mechanical systems (from the Lagrangian point of view)

- Lagrangian function:

$$\begin{aligned}L(q, v) &= T(q, v) - U(q) \\ &= (\text{“kinetic energy”}) - (\text{“potential energy”})\end{aligned}$$

- kinetic energy: from pseudo-Riemannian metric
- potential energy: constant for invariant systems

Hamilton's Principle

- extremal curves are critical points of

$$\mathcal{L}[\gamma] = \int_a^b L(\gamma(t), \dot{\gamma}(t)) dt$$

- extremals are “shortest” (= “straightest”) curves

Adding constraints

What are constraints?

- classically:
 $f_k(q, v) = 0, k = 1, \dots, m$
- geometrically:
 m -dim submanifold of TM

Types

- **integrable**: constraints on position
- **nonintegrable**: constraints on velocity

Dynamics of systems with nonintegrable constraints

- **nonholonomic mechanics**
 - Lagrange-D'Alembert Principle
 - extremals are “straightest” curves
 - correct approach for physical systems obeying Newton's law
- **vakonomic mechanics**
 - variational principle
 - extremals are “shortest” curves
 - main examples: sub-Riemannian geometry, optimal control theory

- 1 Geometry of the tangent bundle
- 2 Unconstrained systems
- 3 Symplectic formulation of nonholonomic mechanics
- 4 Classification of systems on 3D pseudo-Riemannian Lie groups
- 5 Bi-invariant systems

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Tangent and cotangent bundle

Notation

G	Lie group
$\mathfrak{g} = T_1 G$	Lie algebra
$\mathfrak{g}^* = T_1^* G$	dual of Lie algebra

Tangent bundle TG

- trivialisable: $TG \cong G \times \mathfrak{g}$
- projection:

$$\tau_G : (g, X) \mapsto g$$

- **left-invariant** vector field:

$$A^L(g) = (g, A), \quad A \in \mathfrak{g}$$

Cotangent bundle T^*G

- trivialisable: $T^*G \cong G \times \mathfrak{g}^*$
- projection:

$$\pi_G : (g, p) \mapsto g$$

- **left-invariant** 1-form:

$$p_L(g) = (g, p), \quad p \in \mathfrak{g}^*$$

Second tangent bundle

Second tangent bundle $T(TG)$

- trivialisable: $T(TG) \cong T(G \times \mathfrak{g}) \cong (G \times \mathfrak{g}) \times (\mathfrak{g} \times \mathfrak{g})$
- two natural projections (i.e., two natural bundle structures):

$$\tau_{TG} : (g, A; X, B) \mapsto (g, X)$$

$$T\tau_G : (g, A; X, B) \mapsto (g, A)$$

- **canonical flip** $\kappa : (g, A; X, B) \mapsto (g, X; A, B)$

Vector fields on TG

$$Z \in \mathfrak{X}(TG), \quad Z(g, X) = (g, V(g, X); X, W(g, X))$$

- determined by two functions $V, W : G \times \mathfrak{g} \rightarrow \mathfrak{g}$
- Z is **left invariant** if

$$Z(g, X) = (g, V(X); X, W(X))$$

Second order differential equations

Semisprays

A smooth map $Z : TG \rightarrow T(TG)$ is called a **semispray** if

$$\tau_{TG} \circ Z = \text{id} \quad \text{and} \quad T\tau_G \circ Z = \text{id}$$

- geometric representation of second-order ODE
- $Z(g, X) = (g, X; X, z(g, X))$

- if $g(\cdot) : [a, b] \rightarrow G$, then

$$\dot{g}(t) = (g(t), X(t)) \quad (\text{for some } X(\cdot) : [a, b] \rightarrow \mathfrak{g})$$

$$\ddot{g}(t) = (g(t), X(t); X(t), \dot{X}(t))$$

- $g(\cdot)$ is a **solution** of a semispray Z if $\ddot{g}(t) = Z(\dot{g}(t))$, i.e.,
$$\dot{X}(t) = z(g(t), X(t))$$

The Poincaré-Cartan 2-form

- let \mathcal{G} be a **pseudo-Riemannian metric**:

$\mathcal{G}_g : T_g G \times T_g G \rightarrow \mathbb{R}$ is a nondegenerate bilinear form

Induced 2-form $\omega : \mathfrak{X}(TG) \times \mathfrak{X}(TG) \rightarrow \mathcal{C}^\infty(TG)$

- ω is a **symplectic form** (i.e., closed and nondegenerate)
- musical isomorphisms:

$$\omega^\flat : \mathfrak{X}(TG) \rightarrow \Omega^1(TG), \quad X \mapsto \omega(X, \cdot)$$

$$\omega^\sharp : \Omega^1(TG) \rightarrow \mathfrak{X}(TG), \quad \omega^\sharp = (\omega^\flat)^{-1}$$

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Invariant mechanical systems

Mechanical system (G, \mathcal{G})

Configuration space G

- n -dim connected Lie group with Lie algebra \mathfrak{g}

Lagrangian function $L : TG \rightarrow \mathbb{R}$, $L(g, X) = \frac{1}{2} \mathcal{G}_g((g, X), (g, X))$

- \mathcal{G} is a **pseudo-Riemannian metric**:

$\mathcal{G}_g : T_g G \times T_g G \rightarrow \mathbb{R}$ is a nondegenerate bilinear form

- \mathcal{G} is **left invariant**: $\mathcal{G}_g((g, X), (g, Y)) = \mathcal{G}_1(X, Y)$

Euler-Lagrange vector field $\Xi \in \mathfrak{X}(TG)$

$$\Xi = \omega^\sharp(\mathbf{d}L)$$

- Ξ is a (left-invariant) semispray: $\Xi(g, X) = (g, X; X, \xi(X))$
- **extremal** curves: solutions of Ξ (= pseudo-Riemannian geodesics)

Explicit expression for the E-L vector field

Adjoint map

$$\text{ad}_A : \mathfrak{g} \rightarrow \mathfrak{g}, \quad \text{ad}_A B = [A, B] \quad (A \in \mathfrak{g})$$

- let ad_A^\top be the **\mathcal{G}_1 -adjoint** of ad_A , i.e.,

$$\mathcal{G}_1(\text{ad}_A^\top B, C) = \mathcal{G}_1(B, \text{ad}_A C), \quad A, B, C \in \mathfrak{g}$$

Euler-Lagrange vector field

$$\Xi(g, X) = (g, X; X, \xi(X)), \quad \xi(X) = \text{ad}_X^\top X$$

- let $g(\cdot) : [a, b] \rightarrow G$ with $\dot{g}(t) = (g(t), X(t))$
- $g(\cdot)$ is an extremal $\iff \dot{X}(t) = \text{ad}_{X(t)}^\top X(t)$.

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Invariant nonholonomic mechanical system $(G, \mathcal{D}, \mathcal{G})$

Ingredients

Configuration space G

- n -dim connected Lie group with Lie algebra \mathfrak{g}

Constraint distribution $\mathcal{D} = \{\mathcal{D}_g\}_{g \in G}$

- left invariant: $\mathcal{D}_g = g \mathfrak{d}$, where $\mathfrak{d} \subset \mathfrak{g}$ is an r -dim subspace
- **completely nonholonomic**: \mathfrak{d} generates \mathfrak{g}

Lagrangian function $L : TG \rightarrow \mathbb{R}$, $L(g, X) = \frac{1}{2} \mathcal{G}_g((g, X), (g, X))$

- \mathcal{G} is a left-invariant pseudo-Riemannian metric

Regularity condition

$\iota^* \mathcal{G}_1 : \mathfrak{d} \times \mathfrak{d} \rightarrow \mathbb{R}$ is nondegenerate

- $\iota : \mathfrak{d} \rightarrow \mathfrak{g}$ is the inclusion map

Lagrange-D'Alembert vector field $\Lambda \in \mathfrak{X}(TG)$

Notation

$$\mathfrak{d} = \langle A_1, \dots, A_r \rangle$$

$$\mathfrak{d}^\circ = \langle p^1, \dots, p^{n-r} \rangle$$

$$\mathcal{D} = \langle A_1^L, \dots, A_r^L \rangle$$

$$\mathcal{D}^\circ = \langle p_L^1, \dots, p_L^{n-r} \rangle$$

$$\mathcal{F}^\circ = (\tau_G)^* \mathcal{D}^\circ$$

Definition

$$(\omega^b(\Lambda) - \mathbf{d}L)|_{\mathcal{D}} \in \mathcal{F}^\circ \quad \text{and} \quad \Lambda|_{\mathcal{D}} \in T\mathcal{D}$$

- unique (by regularity)
- Λ is a (left-invariant) semispray on \mathcal{D} :

$$\Lambda(g, X) = (g, X; X, \lambda(X)) \quad \text{for every } (g, X) \in \mathcal{D}$$

- **nonholonomic extremals**: curves $g(\cdot)$ in G such that

$$\dot{g}(t) \in \mathcal{D}_{g(t)} \quad \text{and} \quad g(\cdot) \text{ is a solution of } \Lambda$$

Explicit expression for L-D vector field

Notation

$$j : \mathfrak{d}^\circ \rightarrow \mathfrak{g}^*$$

inclusion map

$$j^* : \mathfrak{g} \rightarrow (\mathfrak{d}^\circ)^*$$

dual of inclusion map

$$\mathcal{K} : \mathfrak{d}^\circ \times \mathfrak{d}^\circ \rightarrow \mathbb{R}$$

restriction of the cometric \mathcal{G}_1^{-1} to \mathfrak{d}°

Lagrange-D'Alembert vector field on \mathcal{D}

$$\Lambda(\mathfrak{g}, X) = (\mathfrak{g}, X; X, \lambda(X)), \quad \lambda(X) = \xi(X) - \mathcal{G}_1^\sharp(j(\mu(X)))$$

- $\xi(X) = \text{ad}_X^\top X$ and $\mu(X) = (\mathcal{K}^\sharp \circ j^*)(\xi(X))$

Explicit expression for L-D vector field

Notation

$j : \mathfrak{d}^\circ \rightarrow \mathfrak{g}^*$	inclusion map
$j^* : \mathfrak{g} \rightarrow (\mathfrak{d}^\circ)^*$	dual of inclusion map
$\mathcal{K} : \mathfrak{d}^\circ \times \mathfrak{d}^\circ \rightarrow \mathbb{R}$	restriction of the cometric G_1^{-1} to \mathfrak{d}°

Lagrange-D'Alembert vector field on \mathcal{D}

$$\Lambda(g, X) = (g, X; X, \lambda(X)), \quad \lambda(X) = \xi(X) - G_1^\sharp(j(\mu(X)))$$

- $\xi(X) = \text{ad}_X^\top X$ and $\mu(X) = (\mathcal{K}^\sharp \circ j^*)(\xi(X))$

Orthogonal decomposition $\mathfrak{g} = \mathfrak{d} \oplus \mathfrak{d}^\perp$

- projections $\mathcal{P} : \mathfrak{g} \rightarrow \mathfrak{d}$ and $\mathcal{Q} : \mathfrak{g} \rightarrow \mathfrak{d}^\perp$
- $\lambda(X) = \mathcal{P}(\xi(X))$ for $X \in \mathfrak{d}$

Definition

Two systems $(G, \mathcal{D}, \mathcal{G})$ and $(G', \mathcal{D}', \mathcal{G}')$ are **equivalent** if there exists a Lie group isomorphism $\phi : G \rightarrow G'$ such that

$$\begin{array}{ccc} g(\cdot) \text{ is an extremal} & \iff & (\phi \circ g)(\cdot) \text{ is an extremal} \\ \text{of } (G, \mathcal{D}, \mathcal{G}) & & \text{of } (G', \mathcal{D}', \mathcal{G}') \end{array}$$

- most general form of equivalence preserving extremals and Lie group structure

Characterisation

$(G, \mathcal{D}, \mathcal{G})$
is equivalent to $(G', \mathcal{D}', \mathcal{G}')$

$$\iff \exists \text{ isomorphism } \phi : G \rightarrow G' \text{ such that } \phi_* \mathcal{D} = \mathcal{D}' \text{ and } (T\phi)_* \Lambda|_{\mathcal{D}} = \Lambda'|_{\mathcal{D}'}$$
$$\iff \exists \text{ isomorphism } \phi : G \rightarrow G' \text{ such that } T_1\phi \cdot \mathfrak{d} = \mathfrak{d}' \text{ and } (T_1\phi)_* \lambda|_{\mathfrak{d}} = \lambda'|_{\mathfrak{d}'}$$

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Sufficient condition

If there exists a Lie group isomorphism $\phi : G \rightarrow G'$ such that

$$T_1\phi \cdot \mathfrak{d} = \mathfrak{d}' \quad \text{and} \quad \mathcal{G}_1(A, B) = r \mathcal{G}'_1(T_1\phi \cdot A, T_1\phi \cdot B) \quad (A, B \in \mathfrak{g})$$

for some $r \neq 0$, then $(G, \mathcal{D}, \mathcal{G})$ and $(G', \mathcal{D}', \mathcal{G}')$ are equivalent

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Three-dimensional Lie groups

	Lie algebra		Connected matrix groups
1.	\mathbb{R}^3	Abelian	$\mathbb{R}^3, \mathbb{R}^2 \times \mathbb{T}, \mathbb{R} \times \mathbb{T}^2, \mathbb{T}^3$
2.	$\mathfrak{aff}(\mathbb{R}) \oplus \mathbb{R}$		$\text{Aff}(\mathbb{R})_0 \times \mathbb{R}, \text{Aff}(\mathbb{R})_0 \times \mathbb{T}$
3.	\mathfrak{h}_3	Heisenberg	H_3
4.	$\mathfrak{g}_{3.2}$		$G_{3.2}$
5.	$\mathfrak{g}_{3.3}$		$G_{3.3}$
5.	$\mathfrak{se}(1, 1)$	semi-Euclidean	$SE(1, 1)$
7.	$\mathfrak{g}_{3.4}^h$		$G_{3.4}^h$
8.	$\mathfrak{se}(2)$	Euclidean	$SE(2), SE_n(2), \widetilde{SE}(2)$
9.	$\mathfrak{g}_{3.5}^h$		$G_{3.5}^h$
10.	$\mathfrak{so}(2, 1)$	pseudo-orthogonal	$SO(2, 1)_0, SL(2, \mathbb{R})$
11.	$\mathfrak{so}(3)$	orthogonal	$SO(3), SU(2)$

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The Heisenberg group H_3

$$H_3 : \begin{bmatrix} 1 & y & x \\ 0 & 1 & z \\ 0 & 0 & 1 \end{bmatrix} \quad \mathfrak{h}_3 : \begin{bmatrix} 0 & y & x \\ 0 & 0 & z \\ 0 & 0 & 0 \end{bmatrix} = xE_1 + yE_2 + zE_3$$

Classification on H_3

Every system is equivalent to exactly one of the systems $(H_3, \mathcal{D}, \mathcal{G}_i)$, where $\mathfrak{d} = \text{span}\{E_2, E_3\}$ and

$$\mathcal{G}_1(\mathbf{1}) = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\mathcal{G}_2(\mathbf{1}) = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

$$\mathcal{G}_3(\mathbf{1}) = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\mathcal{G}_4(\mathbf{1}) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Lagrange-D'Alembert vector fields for representatives

$$\lambda_1(X) = \begin{bmatrix} 0 \\ -x^2x^3 \\ (x^2)^2 \end{bmatrix} \quad \lambda_2(X) = \begin{bmatrix} 0 \\ x^2(x^2 + x^3) \\ -x^3(x^2 + x^3) \end{bmatrix}$$
$$\lambda_3(X) = \begin{bmatrix} 0 \\ (x^2)^2 \\ -x^2x^3 \end{bmatrix} \quad \lambda_4(X) = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

Finding extremals

- let $g(\cdot)$ be an extremal, with $\dot{g}(t) = (g(t), X(t))$
- first solve the “reduced” equations of motion $\dot{X}(t) = \lambda(X(t))$
- need to recover $g(\cdot)$ from $X(\cdot)$; this amounts to solving the “reconstruction” equation $\dot{g}(t) = g(t)X(t)$

Proof sketch

- every 2-dim subspace of \mathfrak{h}_3 may be brought to $\mathfrak{d} = \text{span}\{E_2, E_3\}$
- hence every system equivalent to $(H_3, \mathcal{D}, \mathcal{G})$, where

$$\mathcal{G}_1 = \begin{bmatrix} a_1 & b_1 & b_2 \\ b_1 & a_2 & b_3 \\ b_2 & b_3 & a_3 \end{bmatrix}$$

- by regularity: $a_2 a_3 - b_3^2 \neq 0$

Symmetries of \mathfrak{d}

$$\begin{aligned} \phi \in \text{Aut}(H_3) \text{ such that} \\ T_1 \phi \cdot \mathfrak{d} = \mathfrak{d} \end{aligned} \iff T_1 \phi = \begin{bmatrix} yw - vz & 0 & 0 \\ 0 & y & v \\ 0 & z & w \end{bmatrix}$$

Proof sketch, cont'd

- suppose $a_2 \neq 0$; then

$$\psi_1 = \begin{bmatrix} \frac{\sqrt{a_2 a_3 - b_3^2}}{a_2} & 0 & 0 \\ 0 & \frac{\sqrt{a_2 a_3 - b_3^2}}{a_2} & -\frac{b_3}{a_2} \\ 0 & 0 & 1 \end{bmatrix}, \quad \psi_1^\top G_1 \psi_1 = \begin{bmatrix} a'_1 & b'_1 & b'_2 \\ b'_1 & 1 & 0 \\ b'_2 & 0 & 1 \end{bmatrix}$$

- if one of b'_1, b'_2 nonzero (say, $b'_1 \neq 0$), then

$$\psi_2 = \frac{1}{\sqrt{b_1'^2 + b_2'^2}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & b'_1 & -b'_2 \\ 0 & b'_2 & b'_1 \end{bmatrix}, \quad (\psi_1 \psi_2)^\top G_1 (\psi_1 \psi_2) = \begin{bmatrix} a''_1 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- if $b'_1 = b'_2 = 0$, then $\psi_1^\top G_1 \psi_1 = \text{diag}(a'_1, 1, 1)$

Proof sketch, cont'd

- case $a_2 = 0$ leads to representatives \mathcal{G}_2 , \mathcal{G}_3 and \mathcal{G}_4

Recap ($\mathfrak{d} = \langle E_2, E_3 \rangle$)

$$\mathcal{G}_1(\mathbf{1}) = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\mathcal{G}_3(\mathbf{1}) = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\mathcal{G}_2(\mathbf{1}) = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

$$\mathcal{G}_4(\mathbf{1}) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- complete result by verifying none of the four representatives are equivalent to each other

The special orthogonal group $SO(3)$

$$SO(3) : \begin{cases} g^T g = \mathbf{1} \\ \det(g) = 1 \end{cases} \quad \mathfrak{so}(3) : \begin{bmatrix} 0 & -z & y \\ z & 0 & -x \\ -y & x & 0 \end{bmatrix} = xE_1 + yE_2 + zE_3$$

Classification

Every system is equivalent to $(SO(3), \mathcal{D}, \mathcal{G})$, where $\mathfrak{d} = \text{span}\{E_1, E_2\}$ and \mathcal{G}_1 is exactly one of the following:

$$\begin{bmatrix} 1 & 0 & \alpha_1 \\ 0 & \beta & \alpha_2 \\ \alpha_1 & \alpha_2 & \frac{\alpha_1^2 + \alpha_2^2}{\beta} \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & \beta & \alpha \\ 0 & \alpha & 0 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & \alpha \\ 0 & \beta & 0 \\ \alpha & 0 & 0 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & \alpha'_1 \\ 0 & -1 & \alpha'_2 \\ \alpha'_1 & \alpha'_2 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 & \alpha \\ 0 & -1 & \alpha \\ \alpha & \alpha & 1 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & \alpha \\ 0 & -1 & 0 \\ 0 & \alpha & 0 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & \alpha \\ 0 & 1 & 0 \\ \alpha & 0 & 0 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\alpha_1, \alpha_2 > 0 \quad \alpha'_1 > \alpha'_2 > 0 \quad \beta \in (-1, 0) \cup (0, 1)$$

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The unconstrained case

Proposition

The following statements are equivalent:

- the E-L vector field is “trivial”: $\Xi(g, X) = (g, X; X, 0)$
- the extremals are the **one-parameter subgroups**

$$t \mapsto g_0 \exp(t X_0), \quad g_0 \in G, X_0 \in \mathfrak{g}$$

- $X \perp [X, Y]$ for every $X, Y \in \mathfrak{g}$
- \mathcal{G} is right invariant (hence **bi-invariant**)

Three-dimensional matrix Lie groups

Only semisimple groups ($SO(3)$, $SU(2)$, $SO(2, 1)_0$ and $SL(2, \mathbb{R})$) admit such a system

Proposition

The following statements are equivalent:

- the L-D vector field is “trivial”: $\Lambda(g, X) = (g, X; X, 0)$
- the nonholonomic extremals are the **one-parameter subgroups**

$$t \mapsto g_0 \exp(t X_0), \quad g_0 \in G, X_0 \in \mathfrak{d}$$

- $X \perp [X, Y]$ for every $X, Y \in \mathfrak{d}$

Sufficient conditions

- \mathcal{G} is bi-invariant
- $\mathcal{P}([X, Y]) = 0$ for every $X, Y \in \mathfrak{d}$ (necessary cond. for $\dim(\mathfrak{d}) = 2$)

Uniqueness

Given \mathcal{D} on G , there exists (up to equivalence) **at most one** metric on G such that $(G, \mathcal{D}, \mathcal{G})$ has trivial L-D vector field

Existence?

- \mathbb{R}^3 , $G_{3,3}$ admit no compl. nonhol. \mathcal{D} , hence no system with trivial Λ
- every other 3D Lie group admits a trivial system
- conditions on $(G, \mathcal{D}, \mathcal{G})$ for existence of a trivial system?

Affine connection approach

- Levi-Civita connection of (G, \mathcal{G}) induces a connection ∇ on \mathcal{D}
- nonholonomic extremals: $\nabla_{\dot{g}(t)}\dot{g}(t) = 0$
- can define curvature i.t.o. ∇

Outlook

- equivalence under diffeomorphisms (and/or isometries)
- determine **differential invariants**
- consider affine constraints: \mathcal{D} is an **affine** distribution