

Grants Management and Systems Administration Masters and Doctoral Scholarships Grants Application and Funding Guide for 2017

Table of Contents

1.	Intro	oduction	5
2.	Val	ue of support	5
2.1	. Inno	ovation, SSDF and students with disability	5
3.	Арр	plication Process	8
4.	Hov	w to Submit Applications	9
5.	Scr	eening and Review Processes	19
5	.1.	Overview of the Screening Process	19
5	.2.	Overview of the Review Process	19
6.	Rar	nking of Applications	20
7.	Арр	blications Feedback and Disputes	21
8.	Awa	ardee Responsibilities	21
	Awa	ardee Responsibilities	
8			21
8 8	.1	Reporting	21 22
8 8 8	5.1 5.2	Reporting Managing changes during the project life cycle Change Requests	21 22 22
8 8 8 8	5.1 5.2 5.2.1	Reporting Managing changes during the project life cycle Change Requests 1 Prior Approval Requests	21 22 22 22
8 8 8 8	.1 .2 .2.1 .2.1. .2.1.	Reporting Managing changes during the project life cycle Change Requests 1 Prior Approval Requests	21 22 22 22 22
8 8 8 8 9.	.1 .2 .2.1 .2.1. .2.1.	 Reporting Managing changes during the project life cycle Change Requests Prior Approval Requests Changes in Project Scope, Supervisor or Institution 	21 22 22 22 22 22
8 8 8 8 9.	.1 .2 .2.1 .2.1. .2.1. Scie	 Reporting Managing changes during the project life cycle Change Requests Prior Approval Requests Changes in Project Scope, Supervisor or Institution entific Compliance 	21 22 22 22 22 22
8 8 8 8 9. 9.	5.1 5.2.1 5.2.1. 5.2.1. Scie	Reporting Managing changes during the project life cycle Change Requests Prior Approval Requests Changes in Project Scope, Supervisor or Institution entific Compliance Methodology	21 22 22 22 22 22 22

List of Acronyms

APR	Annual Progress Report
DA	Designated Authority
GMSA	Grants Management and Systems Administration
HEI	Higher Education Institution
HICD	Human and Institutional Capacity Development
RISA	Research and Innovation Support and Advancement
NRF	National Research Foundation

Contact Details

For programme related enquiries, please contact:

Ms Fulufhelo Malamatsho: Professional Officer –Human and Infrastructure Capacity Development (HICD). Telephone: 012 481 4166. Email: <u>fulufhelo.malamatsho@nrf.ac.za</u>

Mr Mduduzi Tshabangu: Professional Officer – HICD. Telephone: 012 481 4148. Email: <u>mduduzi.tshabangu@nrf.ac.za</u>

For Grant Management and System Administration related enquiries, please contact:

Ms Zikhona Lose: Professional Officer – Grants Management and Systems Administration (GMSA) Telephone: 012 481 4365. E-mail: <u>zikhona.lose@nrf.ac.za</u>

Ms Thandeka Mthethwa: Liaison Officer – Grants Management and Systems Administration (GMSA) Telephone: 012 481 4163. E-mail: <u>thandeka.mthethwa@nrf.ac.za</u>

Application and funding guidelines are explained in this document. Applicants must read this document together with the NRF Freestanding, Innovation and Scarce Skills Development Fund (SSDF) Masters and Doctoral Scholarships Framework Document which highlights the eligibility criteria and requirements of each funding instrument.

For technical online enquiries, please contact the NRF Support Desk during office hours from 08:00 to 16:30 Monday to Friday.

Tel: 012-481 4202

E-mail: supportdesk@nrf.ac.za

1. Introduction

This Guide provides an overview of the application process and funding guidelines for the NRF Freestanding, Innovation and Scarce Skills Development Fund (SSDF) Masters and Doctoral Scholarships funding instruments. It should be read in conjunction with the above-mentioned **Framework document** which may be accessed at <u>https://nrfsubmission.nrf.ac.za</u>. The Framework document provides detailed information with respect to the objectives of the funding instruments, eligibility criteria and so forth. This document does not however, constitute a complete set of the policies, procedures or systems used by the NRF.

2. Value of support

Scholarship values for full-time postgraduate students are indicated in (**Tables 1, 2 and 3**). Masters (NRF Freestanding only) awards will be administered as a block grant to universities at which the students will be registered for a postgraduate degree (**Table 2**). The period of support is calculated from the first year of registration for the study or research regardless of NRF funding.

2.1. Innovation, SSDF and students with disability

 Table 1: Bursary and Scholarship values for Innovation, SSDF and Students with

 Disability for full-time postgraduate studies for the 2017 academic year.

Level of study	Scholarship value (per annum)	Maximum Funding period	
Masters	R90 000	2 years	
Doctoral	R120 000	3 years	

Assistive devices for students with disabilities

Scholarship-holders with a disability may apply for additional funding for an assistive technology device of up to R20 000. This is the maximum amount allowed during the tenure of the scholarship. As defined by the Individuals with Disabilities Education Act Amendments of 1997 (IDEA), an assistive technology device is "*any piece of equipment, or product system, that is used to increase, maintain, or improve functional capabilities of individuals with disabilities*".

Travel grants for Masters Students

In addition, Masters scholarship-holders may apply for a travel grant of up to R15 000 which may be used to attend local conferences, workshops, and a visit to a laboratory or any other activity that supports the beneficiaries' current research progression. This is the maximum amount allowed during the tenure of the scholarship for Innovation, SSDF and students with disabilities.

Travel grants for Doctoral students

Doctoral scholarship-holders may apply for a travel grant of up to R15 000, for local travel, and up to R50 000, for international travel. These funds may be used to attend conferences, workshops, visits to a laboratory or, any other activity that supports the beneficiaries' current research progression. These are the maximum amounts allowed during the tenure of the scholarship for Innovation, SSDF and students with disability.

Scholarship-holders who wish to access the travel grant and/or assistive technology device must complete a travel grant and/or assistive technology device application form accessible from the NRF system for consideration and approval/disapproval by the NRF.

2.2. NRF Freestanding

Table 2: NRF Freestanding Bursary and Scholarships values for fulltime postgraduatestudents for the 2017 academic year

Level of study	Scholarship value (per annum)	Maximum Funding period	
Masters	R50 000	2 years	
Doctoral	R70 000	3 years	

Assistive devices for students with disabilities

Student with disability scholarship-holders may apply for additional funding for an assistive technology device of up to R20 000. This is the maximum amount allowed during the tenure of the scholarship. As defined by the Individuals with Disabilities Education Act Amendments of 1997 (IDEA), an assistive technology device is "any piece of equipment, or product system, that is used to increase, maintain, or improve functional capabilities of individuals with disabilities".

Travel grants for Masters and Doctoral Students

In addition, Masters and Doctoral scholarship-holders may apply for a travel grant of up to R10 000 which may be used to attend local conferences, workshops, and a visit to a laboratory or any other activity that supports the beneficiaries' current research progression. This is the maximum amount allowed during the tenure of the scholarship for NRF Freestanding.

Scholarship-holders who wish to access the travel grant and/or assistive technology device must complete a travel grant and/or assistive technology device application form accessible from the NRF system for consideration and approval/disapproval by the NRF.

2.3. Doctoral Abroad

The value of the scholarship will be calculated as the equivalent of the United States Dollar (USD) of 22 000 per annum, for a maximum of four (4) years **(Table 3)**, and will be paid out in the currency of the country in which the Doctoral studies will be undertaken. In addition, the NRF will cover the cost of:

- A single cheapest economy airfare for travel to the country in which the Doctoral studies will be undertaken; and
- A single cheapest economy airfare to return to South Africa upon completion of the Doctoral studies abroad.

Table 3: NRF Doctoral abroad Scholarship, for fulltime postgraduate students for the 2017 academic year

Level of study	Scholarship value (per annum)	Maximum Funding period
Doctoral	22 000 USD	4 years

The NRF will not cover any costs for accompanying family members or any other related costs associated with the Doctoral studies. The applicant will be responsible for securing additional funding to cover any shortfall in funding required to undertake his/her doctoral studies abroad.

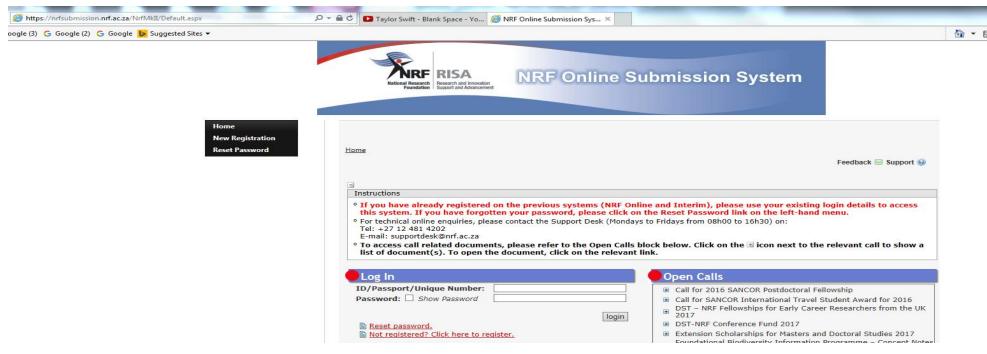
3. Application Process

The NRF is publishing the NRF Freestanding, Innovation and Scarce Skills Development Fund Masters and Doctoral Scholarships Call on the NRF website that is accessible online at https://nrfsubmission.nrf.ac.za. Each applicant is limited to only one (1) application per year. Once an applicant submits an application, it will be duly authorised and approved by the Designated Authority (DA) of the research administration at the prospective South African host institution where the applicant intends to undertake the Masters or Doctoral studies. Applications will be automatically routed to the institutional DA of the submitting institution for validation on the deadline date determined by individual institutions. Applicants must enquire with their institutions regarding internal closing dates. The processing of a successful grant application takes approximately five (5) months from the closing date of applications until the commencement of funding.

4. How to Submit Applications

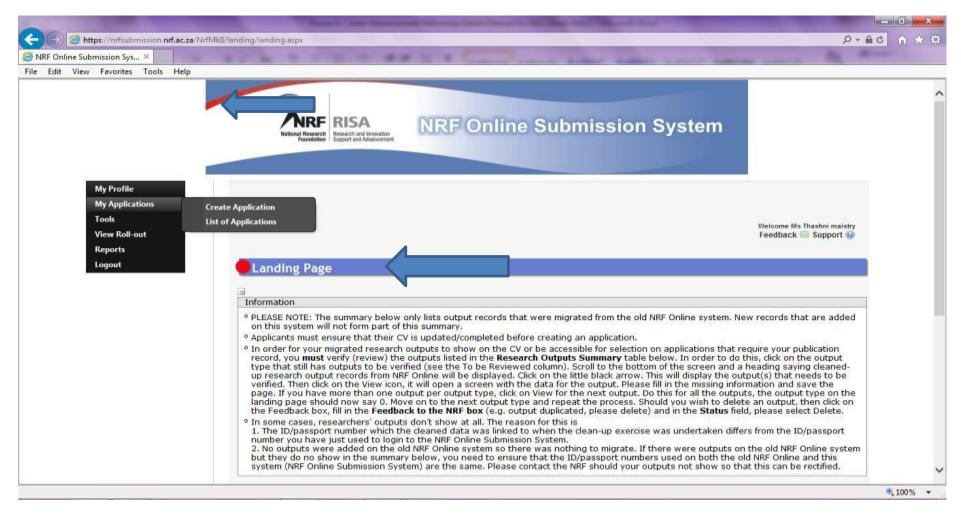
All applicants must consult with his/her proposed supervisor in the process of submitting an application to ensure that the supervisor is in agreement with the scientific aspects contained in the application and, the proposed work plan for completion of the degree. Applications may be completed on the NRF Online Submission System at https://nrfsubmission.nrf.ac.za from Thursday, 02 June 2016. Applicants are advised to complete their applications well ahead of the closing date to prevent IT system overload nearer the closing date of Friday, 29 July 2016.

Step 1: This is an electronic submission system and applicants must be registered on the system in order to create and complete an application. If you were registered on the NRF Online System (http://nrfonline.nrf.ac.za) before February 2012, your details would have been migrated to the new NRF Online Submission System (https://nrfsubmission.nrf.ac.za). If you experience problems accessing the system with your NRF Online password, use the Reset Password button. If you are not yet registered on the NRF Online Submission System, you must register to access the application form.



Most application screens have a screen and application-specific instructions to help the applicant work through the sections. Please read the instructions carefully before completing the section.

Step 2: Once you have logged onto the NRF Online Submission System, on the landing page, go to the left hand side black menu (tab indicated with blue arrow) to create a new application, go to My Applications-Create Application.



Step 3: Select the funding call for which you are applying on the create application screen (i.e. NRF Freestanding, Innovation or Scarce Skills Masters and Doctoral Scholarships 2017), this will open up a new application for you to complete. Please note that this must be selected only once. To continue working on an application, go to My Applications- List of Applications.

					û ⊄ 🏠 ▼ 🖾 ▼ 🖃 🖶 ▼ Page ▼ Safety ▼ Tools ▼ 🚱
	RISA Reserved and Advancement NRF Online Submission Sy	ystem			
My Profile My Applications My Progress Reports Lan Logout	nding / My.Applications / Create Application	w	Velcome Mrs Thandek Feedback 🗟 S		
•	Create Application				
	Instructions				
Quick Links	• Check your intended institution's internal closing date as it will be prior to the closing date listed			1.97651	
° <u>Grantholder Tools</u> ° Institution Facilities	 A timeout will appear when there is no activity on the system for 25 minutes. Click on the refrest enable the continuation/completion of the application. When clicking on the close button the syst 	h button (in the pop tem will close.	oup box) as this v	will	
[°] Panel Meetings	Call	Open Date	Closing Date	Apply	
° Application and	BRICS Multilateral Joint Call for Proposals 2017	25 April 2016	08 July 2016	0	
Output History (NRE	DAAD-NRF Joint In-Country Master's and Doctoral Scholarships 2017	20 May 2016	12 August 2016	0	
Online)	Equipment-related Travel and Training Grants 2016 - 1st call	26 February 2016	31 May 2016	0	
	Knowledge, Interchange and Collaboration (KIC) 2016 – Round 2	12 May 2016	30 June 2016	0	
	NRF Freestanding, Innovation and Scarce Skills Development Masters and Doctoral Scholarships 2017	10 May 2016	30 June 2016	0	
° <u>SKA SA Grants</u>	NRF Freestanding, Innovation and Scarce Skills Development Masters and Doctoral Scholarships	10 May 2016 15 May 2016	30 June 2016 30 June 2016	0	
	NRF Freestanding, Innovation and Scarce Skills Development Masters and Doctoral Scholarships 2017 SASAC High-level System Analysis Capacity Strengthening Three Week Programme 2016 South Africa - China Joint Science and Technology Research Collaboration Call for Project Proposals				
	NRF Freestanding, Innovation and Scarce Skills Development Masters and Doctoral Scholarships 2017 SASAC High-level System Analysis Capacity Strengthening Three Week Programme 2016 South Africa - China Joint Science and Technology Research Collaboration Call for Project Proposals 2017	15 May 2016 29 March 2016	30 June 2016 03 June 2016	0	
	NRF Freestanding, Innovation and Scarce Skills Development Masters and Doctoral Scholarships 2017 SASAC High-level System Analysis Capacity Strengthening Three Week Programme 2016 South Africa - China Joint Science and Technology Research Collaboration Call for Project Proposals	15 May 2016	30 June 2016	0	



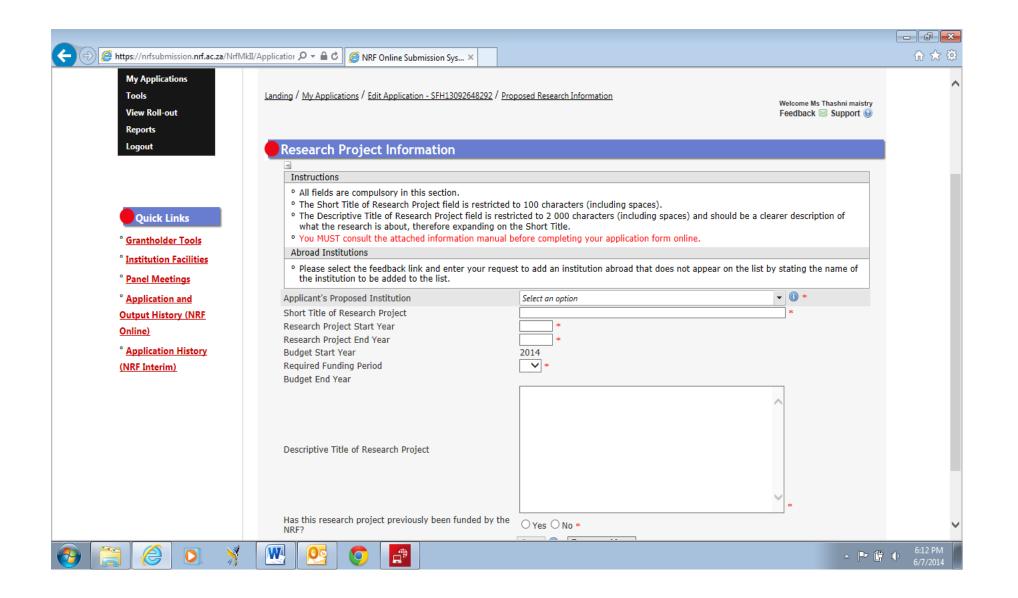
Step 4: Applicants are urged to complete or update ALL screens of the CV, including the Research Profile and Research Outputs (if applicable), as this information is evaluated in the review process and will impact on the overall assessment of your application.

Step 5: All sections marked with a red asterisks are compulsory sections in the application. These sections must be completed in order for the final submit button to appear. The sections without asterisks are non-compulsory sections. You may enter information in these sections, if you

have information to enter, such as research outputs. Completed sections will be indicated by a green tick on the main menu, while incomplete sections will have a yellow cross. All compulsory sections will guide you with error messages. Please follow these messages to help you complete the section correctly.

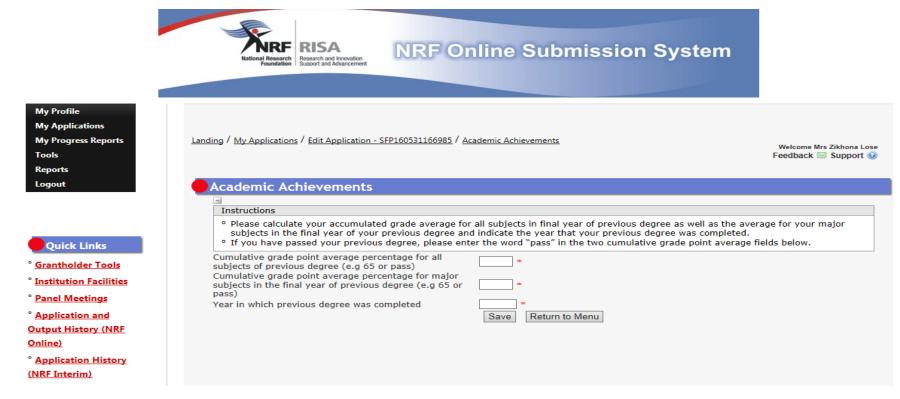
				🔭 NRF – TWAS Doctoral/Renaiss 🧭 NRF Online Submission System 🧔 NRF Online Submission Sys			
첩 🔻 🖾 👻 🖶 🐨 Page 🕶 Safety 👻 Tools 👻							
	line who will	on about the NRF On Is of staff members	and 'Information Contact Detai	documents, 'Guidelines and instructions for completing the application for evaluation and ra Submission System' before attempting to complete an application for rating. A list of Name be able to assist with any queries you may have is also available.			
		be checked for	ete er peede t	 A section marked with a cross (³) in the 'Complete' column indicates that the section is indicated with a cross (³). 			
	completeness/correctness of information. Should the section be compulsory this will be indicated by an asterisk (*) at the end of a section.						
	click on the Edit icon (🖉) and check/complete the section and then 'Save'. The 🎘 will change to a 🖋 to indicate that the section is						
	complete/has been updated. The date in the 'Date Updated' column will change to the date the update was made. • Please update all incomplete records in CV sections marked as incomplete, for example, Oualifications and Career Profile:						
	of			For Qualifications, check that a highest qualification has been selected, that no date field de			
				Study specified. For Career Profile, check that no date field defaults to 1945.			
				For career Frome, check that no date neid deladits to 1945.			
	Edit	Date Updated	Complete	Section			
	6	26 May 2016	0	NRF Call Information Documents (Please read before starting this application)			
	Ø	26 May 2016	~	Registration Details *			
	6	26 May 2016	2	Contact Details •			
	<i></i>	26 May 2016	~	Qualifications *			
	<i></i>	26 May 2016	*	Research Expertise *			
	<i></i>	26 May 2016	*	Personal Profile *			
		26 May 2016 26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Career Profile Books			
		26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Chapters in Books			
		26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Refereed/Peer-reviewed Conference Outputs			
	1	26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Articles in Refereed/Peer-reviewed Journals			
		26 May 2016	×	Patents			
	<i>a</i>	26 May 2016	ä	Keynote/Plenary Addresses			
	<i>~</i>	26 May 2016	*	Articles in Non-refereed/Non-peer Reviewed Journals			
	6	26 May 2016	2	Other Significant Conference Outputs			
	<i></i>	26 May 2016	28	Technical/Policy Reports			
	<i></i>	26 May 2016	28	Products			
	<i></i>	26 May 2016	*	Artefacts			
	6	26 May 2016	2	Prototypes			
	0	26 May 2016	*	Other Recognised Research Outputs			
		26 May 2016	*	Disability *			
		26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Degree to be Funded * Additional Information *			
	<i>6</i>	26 May 2016 26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Research Project Information *			
	<i>a</i>	26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Preferred Panel *			
	2	26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Academic Achievements *			
	<i>a</i>	26 May 2016	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Details of Research *			
	6	26 May 2016	2	Attachments *			
	6	26 May 2016	23	References *			
	6	26 May 2016	2	Declaration -			
	0	26 May 2016	28	Checklist *			
		26 May 2016	0	Print Preview			
				Final Submit			
				RF Online Submission System			
				· · · · · · · · · · · · · · · · · · ·			
				laimer			

Step 6: *The Research Project* Information section will require you to select, from a drop down list, your proposed institution. If your institution is not on the list, please request by selecting the information or new button next to the question.



Step 7: In the academic achievement section, please enter your academic average from your previous degree by adding up all your marks and dividing by the number of courses. If your institution does not provide a mark, please enter pass in the field. The review panel will compare the scores entered with your requested academic transcript. Applicants that enter a pass instead of an average academic mark will not be able to score more than the default score for this section.

The final submit button will only appear once all compulsory sections are completed. Please remember to submit your application for consideration. When an application has been submitted by the applicant, it is automatically routed to the Designated Authority (DA) in the Research Office or Post Graduate Funding Office of the institution that you have selected, for internal review and validation. Late applications, additional supporting documentation or information received after the closing date will not be accepted or considered. It is therefore in the applicant's best interest to be fully compliant in submitting an application.



Step 8: The *Details of Research* section is one of the most important sections in the application. Please provide an overview of your proposed research study in these sections. The section has specific instructions related to each screen. Follow the instructions to complete the section. It is important that you enter detailed information into this section as the input is taken into consideration during the assessment of your application. Appropriate literature references must also be included.

Step 9: The attachment section provides specific instructions for uploading attachments to the application. If you are a student living with a disability, you must also upload a medical certificate in support of your disability.



	ding / My Applications / Edit Application		<u>Attachments</u>			Welcome Ms Thashni maistry Feedback 📨 Support 😡	<u>∩ ☆ ₿</u>
	Attachments Instructions Select the Document Type to b Capture an appropriate Descrip Click the Browse button below Click on the Upload button to s Upload pages individually if doo the rating application.) Please do not upload zip files. <i>A</i> http://forums.adobe.com/three Masters and Doctoral Please attach the necessary do proof of registration (if available)	tion. to select the file or ave. cument is larger the corobat reader has id/520515 for more cuments in one PD e).	an 4MB. (For rating application built-in security to prevent the op information). F file in the following order for thi	pening of zip fil	es by default (pleas	e read the article at	
Online) ^o <u>Application History</u> (<u>NRF Interim</u>)	 These are all required for appli- If you wish to include a motiva Description 			ment. Edit	View	Delete	
						~ (* * ()	• 6:23 PM 6/7/2014

Step 10: The *Reference* section allows applicants to include academic referees who can comment on the applicant's academic abilities. On final submission of the application, the emails containing a reference response are sent automatically to the nominated referees to provide a report on the academic and research capabilities of the applicant. *The Master's degree applicants will have to select at least one Master's supervisor **AND** one academic referee in order for the section to mark as complete. The Doctoral degree applicants will have to select at least one Masters Supervisor, one Doctoral **AND** one academic referee in order for the section to mark as complete.

It is the applicant's responsibility to ensure that the referee email address is correct and that the referees have responded by the closing date of 29 July 2016 as this category of the application is assessed. The applicant must periodically view the application PDF to check if a referee has responded to the request.

Title	Prof
Initials	Z
Surname	Mahlangu
Name	Zodwa
Department	dfjkdjdfkds
Institution	CAPE ANIMAL MEDICAL CENTRE
Email Address	melissa.govender@nrf.ac.za
Role	Supervisor/Host of research
Responded	No

References

Step 11: Applicants must ensure that they adhere to their institution's internal closing date for submission of their application to allow for internal institutional screening and review. The Institution's internal closing date will be determined by the respective research offices or Post Graduate Office and is usually at least two weeks prior to the NRF's closing date.

DA's are required to ensure that all proposals are screened and approved through internal institutional processes **before** submitting the applications to the NRF. The NRF assumes that the prospective postgraduate supervisor and respective DAs are satisfied with the standard of all proposals validated and submitted, and that the institution approves and supports the proposed research.

Step 12: The final submit button will appear only if all compulsory sections have been completed. Press OK to submit your application. If all the compulsory sections are complete and the final submit button does not appear, select any section and resave to refresh the application; you will then see the final submit button. A submitted application is automatically routed to the DA in the Research Office of the institution that you selected, for internal review and validation. Late applications, additional supporting documentation or information received after the closing date will not be accepted or considered

The NRF will not process applications that are incomplete, contain insufficient or incorrect detail, or fail to comply with instruction and such applications will be rejected. The application must be completed in sufficient detail to allow for a comprehensive review and evaluation by external reviewers. In addition to the electronic application and required attachments, the NRF may request additional information or documentation to support an application if required. Failure to supply such information or documentation upon request may result in the rejection of the application.

5. Screening and Review Processes

5.1. Overview of the Screening Process

All applications validated by institutions and submitted to the NRF are screened by the NRF for compliance with the eligibility criteria of the Call for applications, as well as the requirements for completing the NRF Online Submission application. Applications that fail to meet the stipulated requirements will be rejected.

5.2. Overview of the Review Process

The NRF's peer review policy requires that all Masters and Doctoral applications be subjected to a review process. Applications submitted to this funding instrument will go through a panel review process.

5.2.1 Panel Review

Panel members are selected from the NRF database that is updated on a continuous basis, and other sources. In compiling the review panel, the expertise and experience of individuals in application adjudication are taken into account. In assessing the application, agreed assessment criteria are applied in the form of a scorecard (please refer to table 2 under Section 6).

The Review Panel provides recommendations to the NRF and the final funding decision is made by the NRF. In awarding grants in this funding instrument, the NRF takes into account the recommendations of the peer review panels, the objectives and targets of the funding instrument, and the available funds.

6. Ranking of Applications

The purpose of the scoring system is to evaluate applications, based on the criteria for the funding instrument, in order to determine applications that are most deserving of the limited funds available. All research scholarship applications submitted to the NRF for funding are evaluated according to a number of predetermined criteria. Applications are assessed and ranked on the basis of criteria as listed in the table below. Each area may be given a weighting to indicate its relative importance. Kindly consult the table 2 below for details on the criteria used.

Criteria	Description	Weight
Academic Merit	Average percentage mark for previous degree.	15%
Scientific merit of the proposal	Literature review Aims, objectives and methodology	45%
Feasibility	Work plan, project organisation, project scheduling and timelines.	35%
Alignment with National Research Priorities	Alignment with one or more national research strategies and the potential for socio and/or economic impact	10%
		100%

Table 2: Scorecard for the assessment of proposals for Masters Sche	olarships
---	-----------

Table 3: Scorecard for the assessment of proposals for Doctoral and Doctoral abroadScholarships

Criteria	Description	Weight
Academic Merit	Average percentage mark for previous degree.	15%
Applicant's track Record	Past Research Outputs (e.g. Journal articles, conference presentations /proceedings, Book Chapters, Patents).	5%
Scientific merit of the proposal	Novelty and Scientific contribution to new knowledge; multidisciplinary aspects; alignment of the research question with the methodology.	45%

Feasibility	Work plan, project organisation, project scheduling and timelines.	30%
Potential Impact of the Research	Potential to contribute national research strategies and the strategic goals of the knowledge economy.	5%
		100%

7. Applications Feedback and Disputes

Once the review and assessment processes have been completed and the recommended funding decision has been approved by the NRF, the NRF will publish a list of successful applications on the NRF website, <u>www.nrf.ac.za/bursaries/calls</u>. The application status online will not indicate whether or not the application has been approved for funding. If your name does not appear on the published list of successful applications it means that your application was unsuccessful.

The NRF provides feedback on review outcomes to all unsuccessful applicants. However, due to the high volume of applications received, feedback may be sent out up to three months after the NRF Online publication of application outcomes.

Unsuccessful applicants that wish to appeal the funding decision taken by the NRF must follow the appropriate Appeals Process of the NRF. Appeals will only be considered for this funding instrument within one month of receiving feedback from the NRF.

8. Awardee Responsibilities

8.1 Reporting

Continued funding beyond the first year is dependent on the submission of an Annual Progress Report (APR) to the NRF during a call for APR's in October / November of each grant year. Continued funding will be subject to satisfactory progress having been made, as reported in the APR.

An APR must be submitted by the awardee / grant-holder in a format provided by the NRF, documenting progress made against set objectives and research outputs. The NRF may in consultation with the Research Office, request interim progress reports for the purpose of implementing corrective measures timeously to ensure that stated objectives are met within stipulated timeframes.

8.2 Managing changes during the project life cycle

Changes may occur during the project life cycle due to unforeseen and extenuating circumstances. Please note the following on how to manage the award and subsequent changes (planned or unanticipated) should they arise during the project life cycle.

8.2.1 Change Requests

8.2.1.1 Prior Approval Requests

Applicants must submit all change requests in writing to the NRF for approval before effecting any changes. The request must have institutional DA endorsement and must be submitted to the NRF *via* the Research Office or Post graduate funding Office for approval prior to the change.

8.2.1.2 Changes in Project Scope, Supervisor or Institution

Circumstances may occur where a project scope, supervisor or institution needs to be changed from the original research proposal, supervisor or institution respectively. The request must have institutional DA endorsement and be submitted to the NRF *via* the Research Office or Postgraduate Funding Office. Such requests must be forwarded to the NRF 30 days before the change is intended to take place.

9. Scientific Compliance

9.1 Methodology

The student, under supervision of the postgraduate supervisor, takes scientific responsibility for the research to be undertaken, including its objectives and the methodology outlined in the project proposal. The student is required to devote the necessary time to the research project in compliance with the work plan for the research proposal approved by the NRF so as to achieve the project's stated aims and objectives.

9.2 Intellectual Property Rights

The intellectual capital generated by NRF funded research must be appropriately protected and exploited for the benefit of South Africa. This condition should not interfere with the Intellectual Property Rights arrangements already made, on condition that the majority of the benefits arising from the intellectual capital accrue to South Africa and its citizens. This condition is aligned with the *Intellectual Property Rights Act, 1* which will override this condition of grant.

9.3 Ethics

The student is required to maintain the highest ethical and safety standards in conducting the research, particularly when human and animal subjects are involved. It remains the responsibility of the project leader to comply with all relevant regulations in this regard, including those of the

institution at which the research is carried out. An ethical clearance certificate (where applicable) must be submitted to the NRF in respect of successful applications before funding can be released.

10. List of References

RSA (2008). Intellectual Property Rights from Publicly Financed Research and Development Act 51 of 2008. *Government Gazette*, 22 Dec 2008. Vol 522, No 31745