





DST-NRF Fellowships for Early Career Researchers from the United Kingdom

Application and Funding Guide 2019

Directorate: Grants Management and Systems Administration

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List of Acronyms

PR	Progress Report
DA	Designated Authority
GMSA	Grants Management and Systems Administration
HEI	Higher Education Institution
HICD	Human and Institutional Capacity Development
RISA	Research and Innovation Support and Advancement
NRF	National Research Foundation
DST	Department of Science and Technology

Contact Details

When making an enquiry use DST-NRF Fellowships for Early Career Researchers from

the United Kingdom (UK) Call as the email subject line.

For NRF Online application and grants management related enquiries, please contact:

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For technical online enquiries, please contact the NRF Support Desk during office hours from

08:00 to 16:30 Monday to Friday.

Telephone: 012 481 4202

E-mail: supportdesk@nrf.ac.za

Application and funding guidelines are explained in this document. Applicants must read this

document together with the following documents: DST-NRF Fellowships for Early Career

Researchers from the UK Framework which highlights the eligibility criteria and

requirements of this funding instrument.

DST-NRF Fellowships for Early Career Researchers from the UK: Application and Funding Guide

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1. Introduction

This Guide provides an overview of the application process and funding guidelines for the Early Career Researchers from the United Kingdom (UK) funding instrument. The Guide should be read in conjunction with the above-mentioned **Framework document** which can be accessed at https://nrfsubmission.nrf.ac.za. The Framework document provides detailed information with respect to the objectives of the funding instrument, eligibility criteria and other information. This document does not however, constitute a complete set of the policies, procedures or systems used by the NRF.

2. Granting Rules

2.1 Conditions of DST-NRF Fellowships for Early Career Researchers from the UK

- The fellowship will be awarded for a minimum period of three months and a maximum period of six (3-6) months only.
- The period of support is calculated from the initial commencement date of this fellowship.
- The NRF fellowship shall be held as the primary funding for the Postdoctoral research fellowship.
- The fellowship may not be held simultaneously with another fellowship from any other South African government or NRF administered source.
- Postdoctoral fellows are permitted to spend a maximum of 20% of their time undertaking lecturing and student supervision.
- The fellowship-holder will be accepted as a postdoctoral research fellow during the tenure of the fellowship to assist partners to undertake and disseminate scientific research and contribute to broader scientific capacity building at the institution.
- Nothing herein contained shall however, create an expectation that the fellowship shall be renewed for a subsequent period.
- Postdoctoral fellowships may not concurrently hold the fellowship with any full-time salaried employment position.
- Postdoctoral fellows may hold non-binding supplementary grants or emoluments to the institutional capped value.

2.2 Values of DST-NRF Fellowships for Early Career Researchers from the UK

The package for the DST-NRF Fellowships for Early Career Researchers from the UK for 2018 is as follows:

Duration of the fellowship	Value
3 Months	R165 000
6 Months	R330 000

The above amounts will cover the costs of the cheapest economy return flight from the UK to South Africa as well as living expenses including accommodation and ground transport. The applicant will be responsible for securing additional funding to cover any shortfall in funding required to undertake his/her fellowship in South Africa.

3. Application Process

The NRF is publishing the DST-NRF Fellowships for Early Career Researchers from the UK Call, which can be accessed online at https://nrfsubmission.nrf.ac.za. Each applicant is limited to only one (1) application per year. Once an applicant submits an application, it will be duly authorised and approved by the Designated Authority (DA) at the research administration office of the prospective South African host institution where the applicant intends to undertake the fellowship. Applications will be automatically routed to the institutional DA of the South African host institution for validation on the deadline date determined by individual institutions. Applicants must enquire with their host institutions regarding internal closing dates. The processing of a successful application takes approximately five (5) months from the closing date of the Call until the commencement of funding.

4. How to Submit Applications

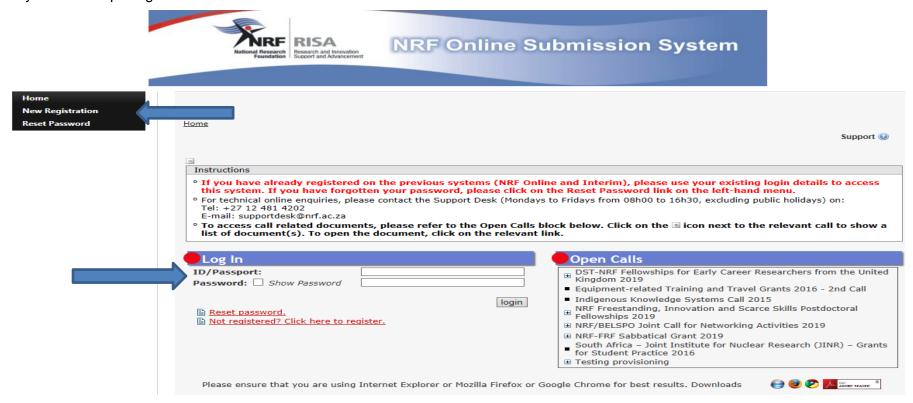
Applications must be completed on the NRF Online Submission System at https://nrfsubmission.nrf.ac.za. Applicants are advised to complete their applications soon after the call opened to prevent IT system overload closer to the **closing date of 11 May 2018**.

Applicants must adhere to their institution's internal closing date for submission to allow for institutional internal screening and review. The institutional closing date will be determined by the respective research or postgraduate offices and is usually at least two (2) weeks prior to the NRF's closing date.

Step 1: This is an electronic submission system and applicants must be registered on the NRF Online Submission System (https://nrfsubmission.nrf.ac.za) in order to create and complete an application. If you experience problems accessing the system with your NRF Online password, use the Reset Password button. If your e-mail address is outdated, please contact the NRF Support Desk at (012) 481-4202 to change it on the system.

If you are not yet registered on the NRF Online Submission System, you must register to access the application form.

Application screens have screen and application specific instructions to help the applicant work through the sections. Please read the instructions carefully before completing the section.



Step 2: After logging onto the NRF Online Submission System, applicants get to the 'landing page' where there is a menu at the top left side of the screen (tab indicated with blue arrow below). Start by filling in all the sections under My Profile to create a CV. To create a new application, click on My Applications - Create Application.



Step 3: Select the funding instrument for which you are applying on the create application screen and a new application will open to complete. Please note that this must be selected once only. To continue working on an application, go to My Applications - List of Applications.

Create Application

Instructions

- Oheck your intended institution's internal closing date as it will be prior to the closing date listed for applications.
- o A timeout will appear when there is no activity on the system for 25 minutes. Click on the refresh button (in the popup box) as this will enable the continuation/completion of the application. When clicking on the close button the system will close.
- Due to potential international review of applications and progress reports, the NRF requires that all applications and progress reports be completed in English.

Call	Open Date	Closing Date	Apply	
DST-NRF Fellowships for Early Career Researchers from the United Kingdom 2019	28 March 2018	30 March 2018	0	
Equipment-related Training and Travel Grants 2016 - 2nd Call	29 November 2016	31 March 2018	0	
Indigenous Knowledge Systems Call 2015	18 June 2014	01 August 2214	0	
NRF Freestanding, Innovation and Scarce Skills Postdoctoral Fellowships 2019	28 March 2018	30 March 2018	0	
NRF/BELSPO Joint Call for Networking Activities 2019	26 March 2018	28 March 2018	0	
NRF-FRF Sabbatical Grant 2019	12 March 2018	30 March 2018	0	
South Africa – Joint Institute for Nuclear Research (JINR) – Grants for Student Practice 2016	03 May 2016	15 June 2018	0	
Testing provisioning	08 March 2018	30 March 2018	0	

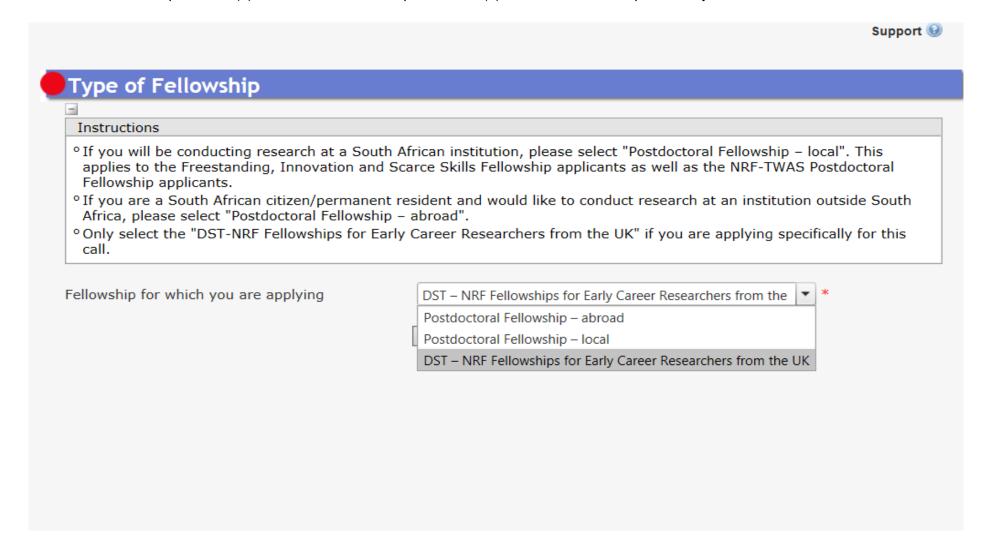
Step 4: All sections marked with a red asterisk are compulsory. These sections must be completed in order for the final submit button to appear. Sections without asterisks are not compulsory; it is only applicable to those who have information to enter. The Attachment section, however, is compulsory for this Call. Completed sections will be indicated by a green tick on the main menu. Please ensure to press the "save" button after completing each section before returning to the main menu.

Section	Complete	Date Updated	Edit
NRF Call Information Documents (Please read before starting this application)	0	28 Mar 2018	<i></i>
Registration Details *	×	28 Mar 2018	
Contact Details *	✓	28 Mar 2018	
Qualifications *	✓	09 Nov 2017	
Career Profile *	✓	26 Sep 2017	
Research Expertise *	✓	26 Sep 2017	6
Student Supervision Record	✓	18 Jan 2018	
Absence from Research	✓	21 Aug 2012	
Personal Profile *	✓	26 Sep 2017	0
Disability *	✓	17 May 2017	0
ORCID *	✓	28 Mar 2018	<i></i>
Books	✓	27 Sep 2017	<i></i>
Chapters in Books	✓	27 Sep 2017	0
Articles in Refereed/Peer-reviewed Journals	✓	17 Oct 2017	<i></i>
Refereed/Peer-reviewed Conference Outputs	✓	27 Sep 2017	0
Patents	✓	06 Feb 2014	
Keynote/Plenary Addresses	✓	26 Sep 2017	0
Articles in Non-refereed/Non-peer Reviewed Journals	✓	26 Sep 2017	
Other Significant Conference Outputs	✓	26 Sep 2017	0
Technical/Policy Reports	✓	26 Sep 2017	<i></i>
Products	✓	26 Sep 2017	0
Artefacts	✓	26 Sep 2017	0
Prototypes	✓	26 Sep 2017	0
Other Recognised Research Outputs	✓	26 Sep 2017	0
Type of Fellowship *	✓	28 Mar 2018	0
Fellowship to be Funded *	✓	28 Mar 2018	
Research Project Information *	※	28 Mar 2018	0
Details of Research *	※	28 Mar 2018	0
National Infrastructure Platforms *	※	28 Mar 2018	0
Science Engagement *	※	28 Mar 2018	<i></i>
References *	×	28 Mar 2018	0
Attachments	×	28 Mar 2018	
Possible Reviewers *	×	28 Mar 2018	6
Preferred Panel =	×	28 Mar 2018	6
Person History *	×	28 Mar 2018	0
Print Preview	1	28 Mar 2018	

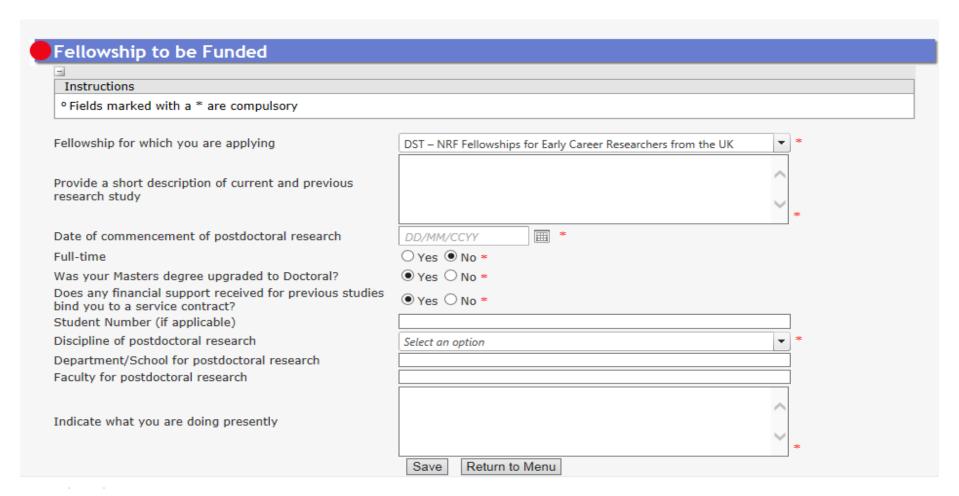
©NRF Online Submission System

Disclaimer

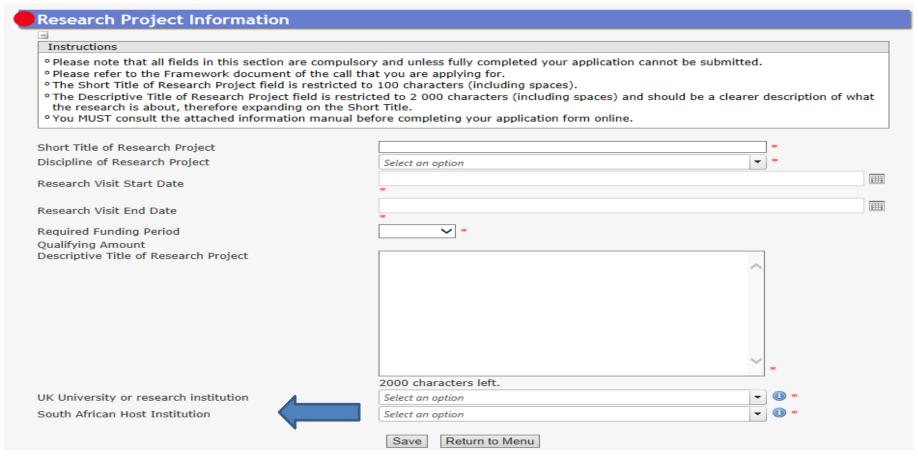
Step 5: The Type of *Fellowship* section is compulsory. The dropdown list for *Fellowship for which you are applying*, will have three options: (1) Postdoctoral Fellowship abroad; (2) Postdoctoral Fellowship local; and (3) DST-NRF Fellowships for Early Career Researchers from the UK.



Step 6: The *Fellowship to be Funded* section is compulsory. Please select the Fellowship for which you are applying and keep the following in mind: (i) Applicants must undertake full-time Postdoctoral research. (ii) The Fellowship can be undertaken for a minimum of three (3) months to the maximum of six (6) months only. (iii) The Fellowship cannot be held concurrently with any salaried employment. (iv) The commencement date of the research must be before the deadline of 30 June 2019. Any awards not taken up by this date will be cancelled automatically, unless an arrangement was made with the NRF.



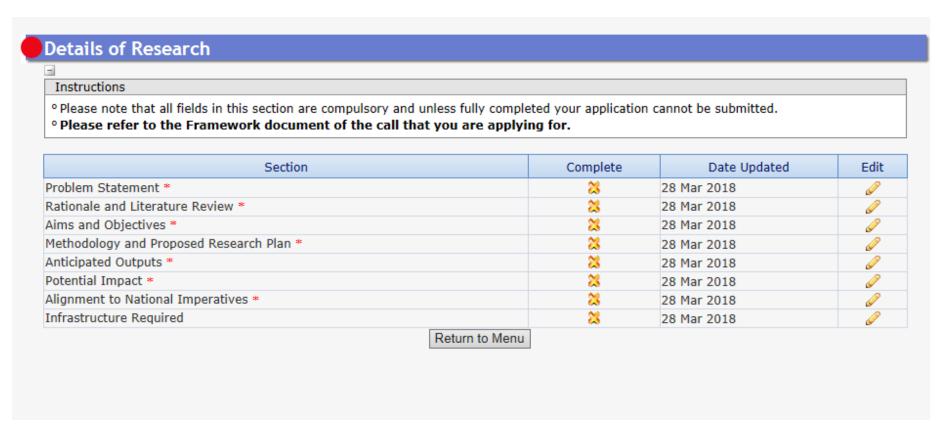
Step 7: The *Research Project Information* section requires applicants to select the proposed institution. SA Higher Education Institutions (HEI), including SA national facilities, as well research institutions will be on the list. If the institution to be selected is not on the drop-down list, it can be requested by selecting the support tab on the far right at the top of the screen. Applicants must indicate the UK University or research institution that they are currently based at. Please note that it is the applicant's responsibility to find an institution and supervisor in South Africa that is willing to host them for the duration of the fellowship.



©NRF Online Submission System

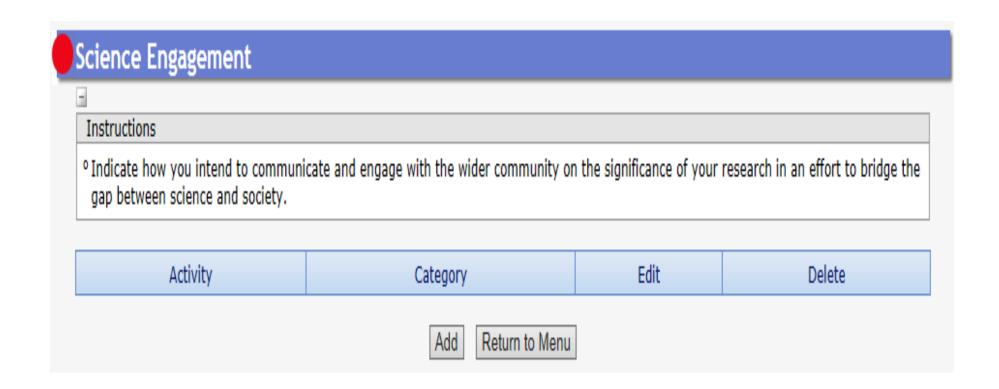
Disclaimer

Step 8: The **Details of Research** section is one of the most important sections in the application. The section has specific instructions related to each screen. Follow the instructions to complete the section. Applicants must give a concise overview of the proposed research in this section as the input is taken into consideration during the assessment of the application. Appropriate literature references must also be included in this section. The Scorecard that will be used to assess the application can be viewed in Section 6 of this document.

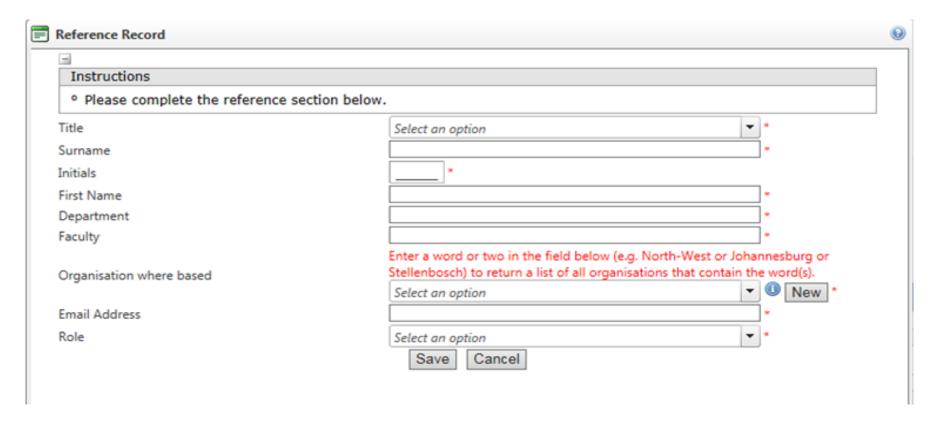


^{*}Please note that the Details of Research section will only mark as complete when all the sub-sections have been completed.

Step 9: The Science Engagement section is compulsory section. Should applicants not be running any science engagement activities, they will still have to click on "add" and select "N/A" under "activity" and category".

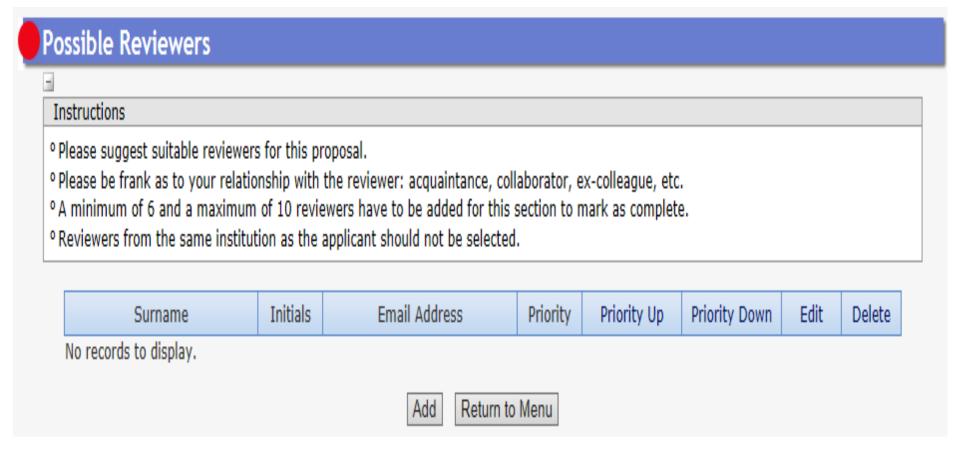


Step 10: The *Reference* section allows applicants to include academic referees who can comment on the applicant's academic abilities. On final submission of the application, the emails containing a reference response are sent automatically to the nominated referees to provide a report on the academic and research capabilities of the applicant.

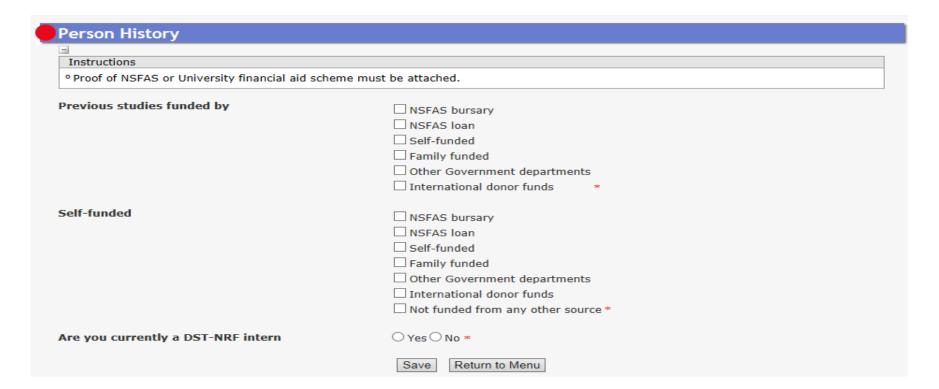


The applicant must ensure that the referees' email addresses are correct and that the referees have responded by the closing date as this category of the application is assessed. The applicant must periodically view the application PDF to check if a referee has responded to the request.

Step 11: The *Possible Reviewers* section is compulsory in this Call. The section requires that applicants add the names of possible reviewers in the field of research that they have interacted with through conferences or other academic fora, to provide a neutral review of the proposed research project. A minimum of six (6) and a maximum of ten (10) possible reviewers are required.

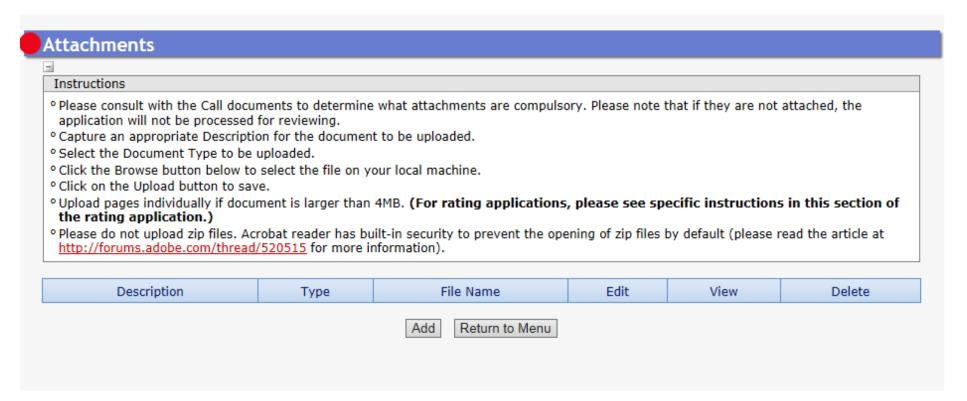


Step 12: *Person History* section is a section that is required for statistical purposes by the NRF. It allows the NRF to ascertain who previously received NSFAS (South African National Student Financial Aid Scheme) funding. Please also indicate if you were funded by an international donor.



Step 13: In the *Attachment* section, please print, certify and then scan all the required documents and submit as a single PDF file. The applicant is required to attach the following documents:

- (i) Copy of the passport.
- (ii) Certified doctoral certificate
- (iii) Certified copy of academic transcript
- (iv) Letter of acceptance from an SA host researcher
- (v) Letter confirming affiliation to a government-funded UK University or research institution
- (vi) A medical certificate in support of a disability if an applicant with a disability.



Step 14: Applicants must ensure that they adhere to the SA institution's internal closing date for submission of applications to allow for internal institutional screening and review. The institution's internal closing date will be determined by the respective research offices or Post Graduate Office and is usually at least two weeks prior to the NRF's closing date

Delegated Authorities (DAs) at institutions ensure that all proposals are screened and approved through internal institutional processes **before** submitting the applications to the NRF. The NRF assumes that the respective DAs are satisfied with the standard of all proposals validated and submitted, and that the institution approves and supports the proposed research.

Step 12: The final submit button will appear only if all compulsory sections are complete. Press OK to submit the application. If all the compulsory sections are complete and the final submit button does not appear, select any section and resave to refresh the application and then submit. A submitted application is automatically routed to the DA in the Research Office of the institution that you selected, for internal review and validation. Late applications, additional supporting documentation or information received after the closing date will not be accepted or considered.

The NRF will not process applications that are incomplete, contain insufficient or incorrect detail, or fail to comply with instruction, as such applications will be rejected. The application must be completed with sufficient detail to allow for a comprehensive review and evaluation by external reviewers. In addition to the electronic application and required attachments, the NRF may request additional information or documentation to support an application if required. Failure to supply such information or documentation upon request may result in the rejection of the application.

5. Screening and Review Processes

5.1 Overview of the Screening Process

All applications validated by institutions and submitted to the NRF are screened by the NRF for compliance with the eligibility criteria of the Call for applications, as well as the requirements for completing the NRF Online Submission application. Applications that fail to meet the stipulated requirements will be rejected.

5.2 Overview of the Review Process

The NRF's peer review policy requires that all applications be subjected to a review process. Applications submitted to this funding instrument will go through a peer review process.

Reviewers are selected from the NRF database and other sources, which is updated on a continuous basis. When selecting reviewers, the expertise and experience of individuals in application adjudication are taken into account. In assessing the application, agreed assessment criteria are applied in the form of a scorecard (please refer to Section 6).

The review panel provides recommendations to the NRF and the final funding decision is made by the NRF taking strategic and policy objectives into consideration. In awarding grants in this funding instrument, the NRF takes into account the recommendations of the peer review panel, the objectives and targets of the funding instrument, and the available funds.

6. Ranking of Applications

The purpose of the scoring system is to evaluate applications based on funding instrument criteria, in order to determine applications that are most deserving of the limited funds available. All research proposals submitted to the NRF for funding are evaluated according to a number of predetermined criteria. Applications are assessed and ranked on the basis of criteria as listed in the table below. Each area may be given a weight to indicate its relative importance. Kindly consult the table below for details on the criteria used.

Scorecard for the Assessment of Proposals for DST – NRF Fellowships for Early Career Researchers from the UK

Criteria	Sub-Criteria	Weight
		(Total = 100%)
Track Record	Applicant expertise/training that will enable the applicant to	10%
of Applicant	successfully undertake the proposed research.	
	The applicant's research track record which could include	10%
	peer reviewed publications, conference proceedings,	
	research prizes and awards.	
Scientific and	Literature review with citations, significance of the research	20%
Technical	in terms of the problem statement, aims and objectives.	
quality of	Scientific contribution; originality and new knowledge to be	
proposed	generated.	
research	Research design, methodology developed to address aims	20%
	of the research.	
	Provision of work plan and feasible timelines and	
	milestones for the research.	
	Alignment with national (at applicant's home country) and	5%
	institutional research priorities.	
Institutional	Institutional support for the postdoctoral fellowship through	10%
Support	infrastructure and facilities for an enabling environment.	
Potential	Details of envisaged realistic outputs of the research such	5%
Research	as publications, conference proceedings, toolkits, policy	
Outputs and	documents.	
Impact of the	Contribution to postdoctoral research skills development in	10%
research	a priority research area (human capacity development of	
	the applicant).	
	Potential for socio-economic impact of the research in	10%
	South Africa.	

7. Application Feedback and Disputes

Once the NRF has completed the review and assessment processes, and has approved the recommended funding decision, the NRF will publish a list of successful applicants on the NRF website www.nrf.ac.za/bursaries/calls. Successful applicants will thereafter receive a letter of award. The application status online will not indicate whether or not the application has been approved for funding. This funding instrument does not provide feedback to unsuccessful applicants; if your name does not appear on the published list of successful applications it means that your application was unsuccessful.

An unsuccessful applicant who requires feedback is advised to contact their institutional office to request feedback from the NRF. The NRF does not provide feedback to all unsuccessful applicants due to the high volume of applications received across its funding instruments.

Unsuccessful applicants that wish to appeal the funding decision taken by the NRF must follow the appropriate Appeals Process of the NRF. Appeals will only be considered for this funding instrument three (3) months after the official date of publishing of the results on the NRF website.

8. Change Requests

8.1 Prior Approval Requests

Applicants must submit all change requests in writing to the NRF for approval before effecting any changes. The request must have institutional DA endorsement and be submitted to the NRF *via* the Research Office for approval prior to the change.

8.2 Changes in Project Scope, Supervisor or Institution

Changes may occur where a project scope, host or institution needs to be changed from the original research proposal, host or institution respectively. The request must have institutional DA endorsement and be submitted to the NRF *via* the Research Office. Such requests must be forwarded to the NRF thirty (30) days before the change is intended to take place.

9. Scientific Compliance

9.1 Methodology

The applicant takes scientific responsibility for the research to be undertaken, including its objectives and the methodology outlined in the project proposal. The applicant is required to devote the necessary time to the research project in compliance with the work-plan for the research proposal approved by the NRF so as to achieve the project's stated aims and objectives.

9.2 Intellectual Property Rights

The intellectual capital generated by NRF funded research must be appropriately protected and exploited for the benefit of South Africa. This condition should not interfere with the Intellectual Property Rights arrangements already made, on condition that the majority of the benefits arising from the intellectual capital accrue to South Africa and its citizens. This condition is aligned with the *Intellectual Property Rights Act*, 1 which will override this condition of grant.

9.3 Ethics

The fellow is required to maintain the highest ethical and safety standards in conducting the research, particularly when human and animal subjects are involved. It remains the responsibility of the project leader to comply with all relevant regulations in this regard, including those of the institution at which the research is carried out. An ethical clearance certificate (where applicable) must be submitted to the NRF in respect of successful applications before funding can be released.

10. References

RSA (2008). Intellectual Property Rights from Publicly Financed Research and Development Act 51 of 2008. *Government Gazette*, 22 Dec 2008. Vol 522, No 31745